



## Lorcaserin for Weight Management

As an adjunct to diet and exercise for weight management, including weight loss and maintenance of weight loss



## Lorcaserin for Weight Management

Craig Audet

Vice President, Global Regulatory Affairs

Arena Pharmaceuticals

# Arena and Eisai Partnership

- Arena is the developer of lorcaserin
- Eisai will market and distribute lorcaserin in the United States



# Lorcaserin Proposed Indication

- As an adjunct to diet and exercise for weight management, including weight loss and maintenance of weight loss
  - Obese patients ( $\text{BMI} \geq 30 \text{ kg/m}^2$ )
  - Overweight patients ( $\text{BMI} \geq 27 \text{ kg/m}^2$ ) with  $\geq 1$  weight-related comorbid condition
    - hypertension
    - dyslipidemia
    - cardiovascular disease
    - glucose intolerance
    - sleep apnea
    - type 2 diabetes

# Overview: Lorcaserin Phase 3 Results

- Study 009 and 011 (Patients without diabetes)
  - $\geq 5\%$  weight loss
    - Lorcaserin ~47% vs. Placebo ~23%
  - $\geq 10\%$  weight loss
    - Lorcaserin ~22% vs. Placebo ~9%
- Study 010 (Patients with type 2 diabetes)
  - $\geq 5\%$  weight loss
    - Lorcaserin 38% vs. Placebo 16%
  - $\geq 10\%$  weight loss
    - Lorcaserin 16% vs. Placebo 4%

## Lorcaserin Benefit / Risk

- Study 010 further enhances the benefit profile established in Study 009 & 011
  - Significant weight loss
  - Efficacy met FDA defined benchmark
  - Improvement in CV risk biomarkers
  - Clinically meaningful reductions in HbA1c and fasting plasma glucose

# Overview: Lorcaserin Preclinical Data

- Re-adjudicated female mammary 2-year findings
- 70-fold safety margin for astrocytoma
- 24-fold safety margin for mammary adenocarcinoma
- Prolactin mechanism for fibroadenoma
- New data mitigate clinical risk

# Sponsor Presentation Outline

<b>Clinical Study Designs and Patient Baseline Characteristics</b>	<b>William Shanahan, MD</b> Sr. VP & CMO Arena Pharmaceuticals
<b>Efficacy Results and Clinical Perspective</b>	<b>Steven R. Smith, MD</b> Scientific Director, Translational Research Institute for Metabolism and Diabetes, Florida Hospital / Sanford Burnham Institute
<b>Clinical Safety Results</b>	<b>William Shanahan, MD</b> Sr. VP & CMO Arena Pharmaceuticals
<b>Preclinical Studies</b>	<b>Dominic Behan, PhD</b> Exec. VP & CSO Arena Pharmaceuticals
<b>Preclinical Safety Relevance to Human Risk</b>	<b>Samuel Cohen, MD, PhD</b> Professor of Pathology/Microbiology and Endowed Professor of Oncology, UNMC Fellow, Academy of Toxicological Sciences
<b>Concluding Remarks</b>	<b>Dominic Behan, PhD</b> Exec. VP & CSO Arena Pharmaceuticals



# Sponsor Outside Experts

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**Gary Koch, PhD**

Professor, Biostatistics, University of North Carolina at Chapel Hill

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**Jose Russo, MD, FACP**

Professor, Fox Chase Cancer Center;  
Director, NCI-NIEHS Breast Cancer & Environmental Research Center

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**Ken Schafer, DVM, PhD, DACVP**

Senior Pathologist, Vet Path Services

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**Benjamin Scirica, MD, MPH, FACC**

Cardiovascular Division, Brigham and Women's Hospital;  
Asst. Professor of Medicine, Harvard Medical School;  
Investigator, The TIMI Study Group

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**Neil Weissman, MD**

President, MedStar Health Research Institute  
Professor of Medicine, Georgetown University

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**Gary Williams, MD**

Professor of Pathology, Professor of Clinical Public Health, New York Medical College

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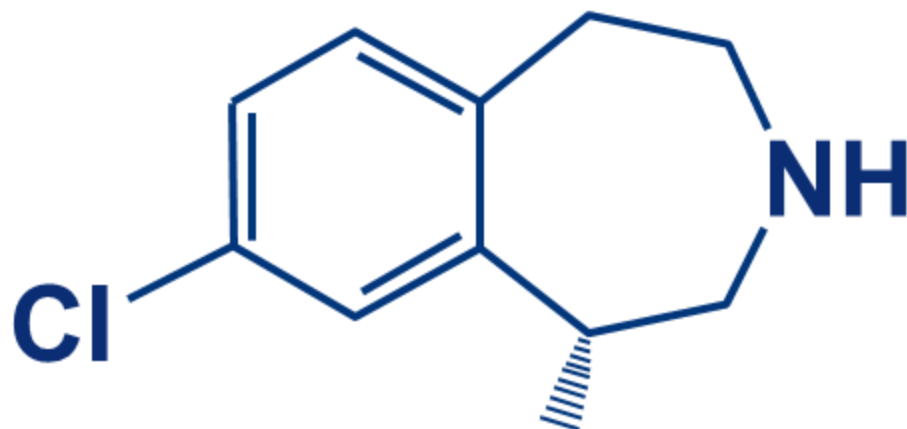


## Phase 3 Clinical Study Designs and Patient Baseline Characteristics

William Shanahan, MD  
Sr. Vice President & Chief Medical Officer  
Arena Pharmaceuticals

# Lorcaserin is a Selective Serotonin 2C Receptor Agonist

C-11



Human	5-HT <sub>2C</sub> R	5-HT <sub>2A</sub> R	5-HT <sub>2B</sub> R
Functional Activity EC <sub>50</sub> (nM)	39	553	2,380
Relative Selectivity		14	61

# Phase 3, Double Blind, Randomized Controlled Trials

	Study 009	Study 011	Study 010
Overview	Non-diabetic patients 18-65 yrs old		Type 2 diabetes 18-65 yrs old
BMI Range	27 to 45	27 to 45	27 to 45
N	3,182	4,008	604
Lorcaserin BID Lorcaserin QD	1,595 0	1,603 802	256 95
Length of study	2 years	1 year	1 year
Lifestyle Modification	All patients followed a daily 600 calorie deficit diet + exercise program, with monthly counseling sessions		

# Person Years of Exposure in Phase 3 Studies 009, 010 and 011

Treatment Group	Total # of Subjects on Study Drug	Duration of Treatment		
		Exposure Person-Years	Range Days Min-Max	Mean (SD) Days
10 MG BID	3,451	2,949	1-779	316.0 (207.85)
10 MG QD	896	670	1-400	277.1 (129.16)
Any Dose	4,347	3,618	1-779	308.0 (194.90)

# Pivotal Study Design: Primary Efficacy Endpoints

- Year 1 (ordered primary endpoints)
  - Proportions achieving  $\geq 5\%$  weight loss
  - Absolute weight loss
  - Proportions achieving  $\geq 10\%$  weight loss

# Baseline Demographics

Parameter	Study 009		Study 011		Study 010	
	PBO n=1,587	LOR n=1,595	PBO n=1,601	LOR n=1,602	PBO n=252	LOR n=256
Mean age (yrs)	44	44	44	44	52	53
Female	84%	83%	78%	81%	54%	54%
Mean weight (kg)	100	100	100	100	103	104
Mean BMI (kg/m <sup>2</sup> )	36	36	36	36	36	36
Ethnicity						
Caucasian	66%	68%	67%	67%	66%	59%
African American	19%	19%	20%	19%	18%	22%
Hispanic/Latino	13%	11%	11%	11%	11%	15%
Other	2%	2%	2%	3%	6%	5%

# Significant Medical Conditions or Impaired Fasting Glucose in Study 009 and 011

Parameter	Population Prevalence BMI $\geq 30$		Study 009	Study 011
	Male	Female		
Hypertension	38%	32% <sup>a</sup>	21%	24%
Dyslipidemia	20%	25% <sup>*a</sup>	33%	28%
Sleep apnea	> 15% <sup>b</sup>	> 15% <sup>b</sup>	4%	4%
CVD	> 8%	> 6% <sup>c</sup>	5%	5%
Impaired fasting glucose	32.6%	20% <sup>a,d</sup>	26%	25%
Depression	2.9%	6.7% <sup>a</sup>	8%	8%
No comorbid condition			50%	53%
$\geq 1$ comorbid condition			50%	47%
$\geq 2$ comorbid condition			16%	17%

\* High cholesterol

<sup>a</sup> NHANES III; <sup>b</sup> Wisconsin Sleep Study; <sup>c</sup> AHA Heart Disease and Stroke Statistics; <sup>d</sup> All BMIs



# Baseline Characteristics Study 010

	Study 010	
	PBO n=252	Lorcaserin 10 mg BID n=256
<b>HbA1c</b>		
Mean	8%	8%
≥ 9	18%	18%
< 9	82%	82%
<b>Fasting plasma glucose (mg/dL)</b>	160.2	163.8
<b>Primary diabetic medications</b>		
Metformin	91%	92%
SFU	50%	50%
Both	41%	43%
<b>Duration of diabetes (years)</b>	7.0	6.6

# Patient Disposition

	Study 009		Study 011		Study 010	
	PBO n=1,587	LOR n=1,595	PBO n=1,601	LOR n=1,602	PBO n=252	LOR n=256
Completed	45%	55%	52%	57%	62%	66%
Completed and Returning Dropouts	57%	65%	59%	64%	65%	68%
Withdrawals:						
Lost to follow up	14%	12%	15%	12%	6%	8%
Adverse events	7%	7%	5%	7%	4%	9%
Lack of efficacy	6%	2%	4%	2%	2%	1%
Other	28%	24%	25%	21%	26%	17%

\* Other includes the following withdrawal categories: Withdrawal of Consent, Lost to Follow-up, Protocol Deviation/Non-compliance, Sponsor Decision, PI Decision and Other

# **Efficacy Results and Clinical Perspective**

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Steven R. Smith, MD

Scientific Director

Translational Research Institute for Metabolism and  
Diabetes

Florida Hospital / Sanford Burnham Institute

# Many Different Ways to Analyze the Data

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- MITT with LOCF imputation
  - Patients receiving  $\geq 1$  dose
  - $\geq 1$  post-baseline weight measurement
- ITT
  - LOCF imputation
  - BOCF imputation
- Completers
  - Those who stayed in the study
- Week 52 Population
  - Completers + dropouts returning for a Week 52 visit
- Responder Analyses

# FDA Guidance for Primary Endpoints of Weight Loss Drugs

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- $\geq 5\%$  difference in mean weight loss between groups at one year

OR

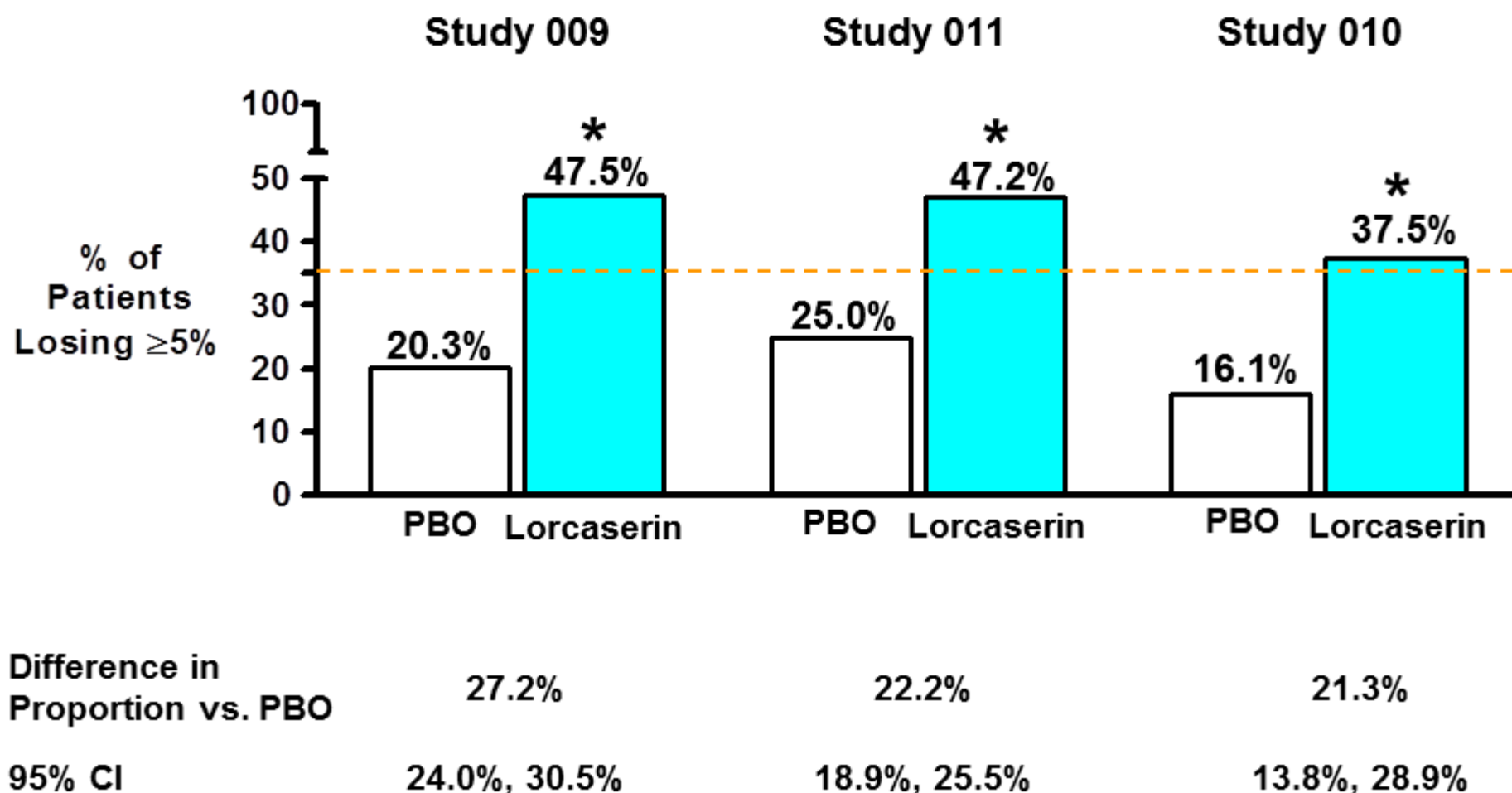
- At least 35% of patients lose  $\geq 5\%$  body weight at one year and approximately double the proportion in the placebo-treated group

# 5% Weight Loss is Correlated with Improvements in Risk Factors

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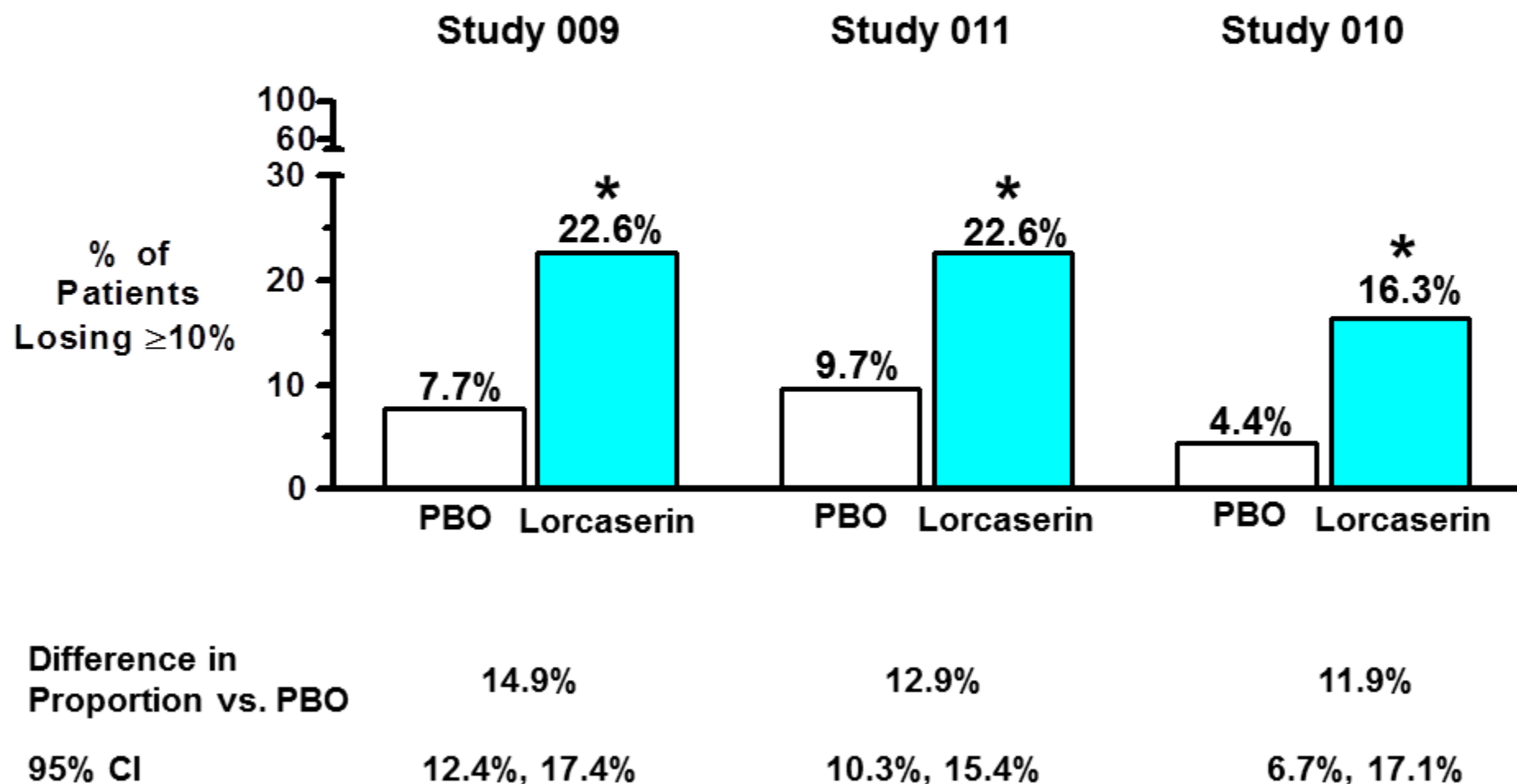
- Generally quoted as 5% based on
  - Improvements in cardiovascular risk factors
  - Improvements in glycemic control
  - Diabetes prevention

# Proportion of Patients Losing $\geq 5\%$ of Baseline Body Weight



\* p-value < 0.001 vs. PBO  
MITT Population

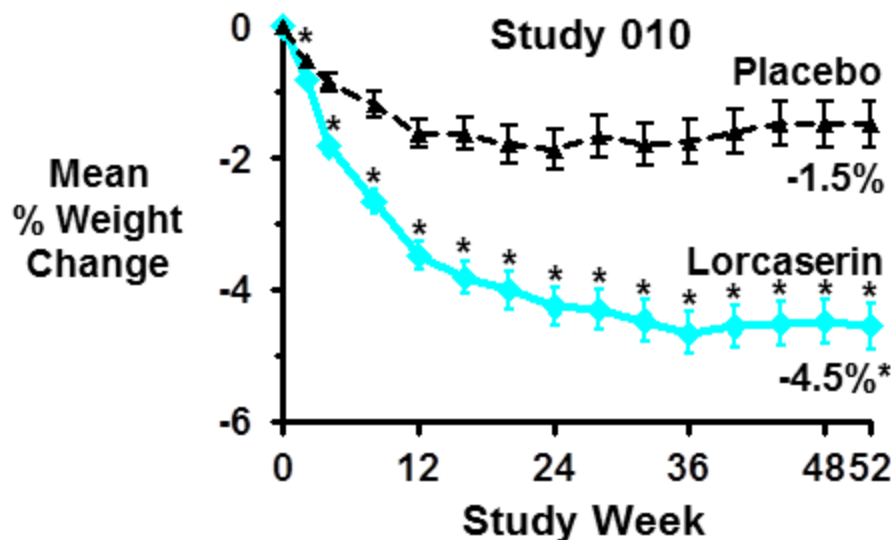
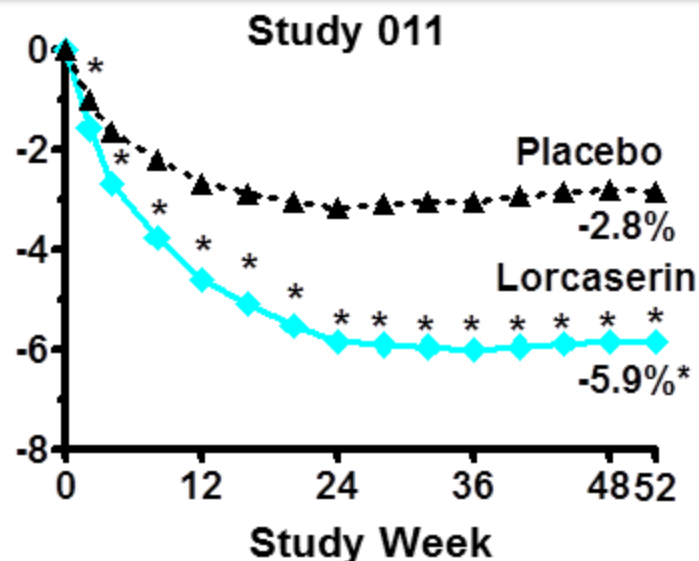
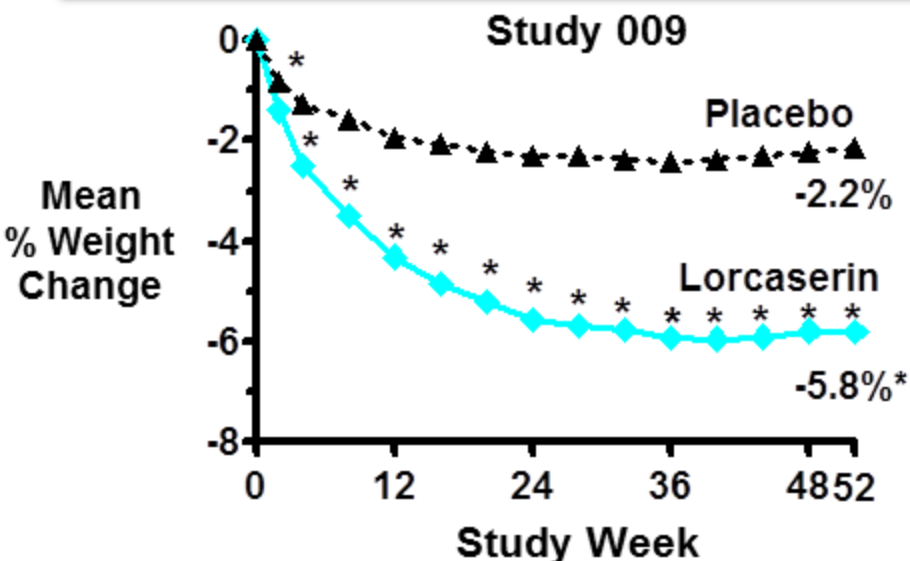
# Proportion of Patients Losing $\geq 10\%$ of Baseline Body Weight



\* p-value < 0.001 vs. PBO  
MITT Population



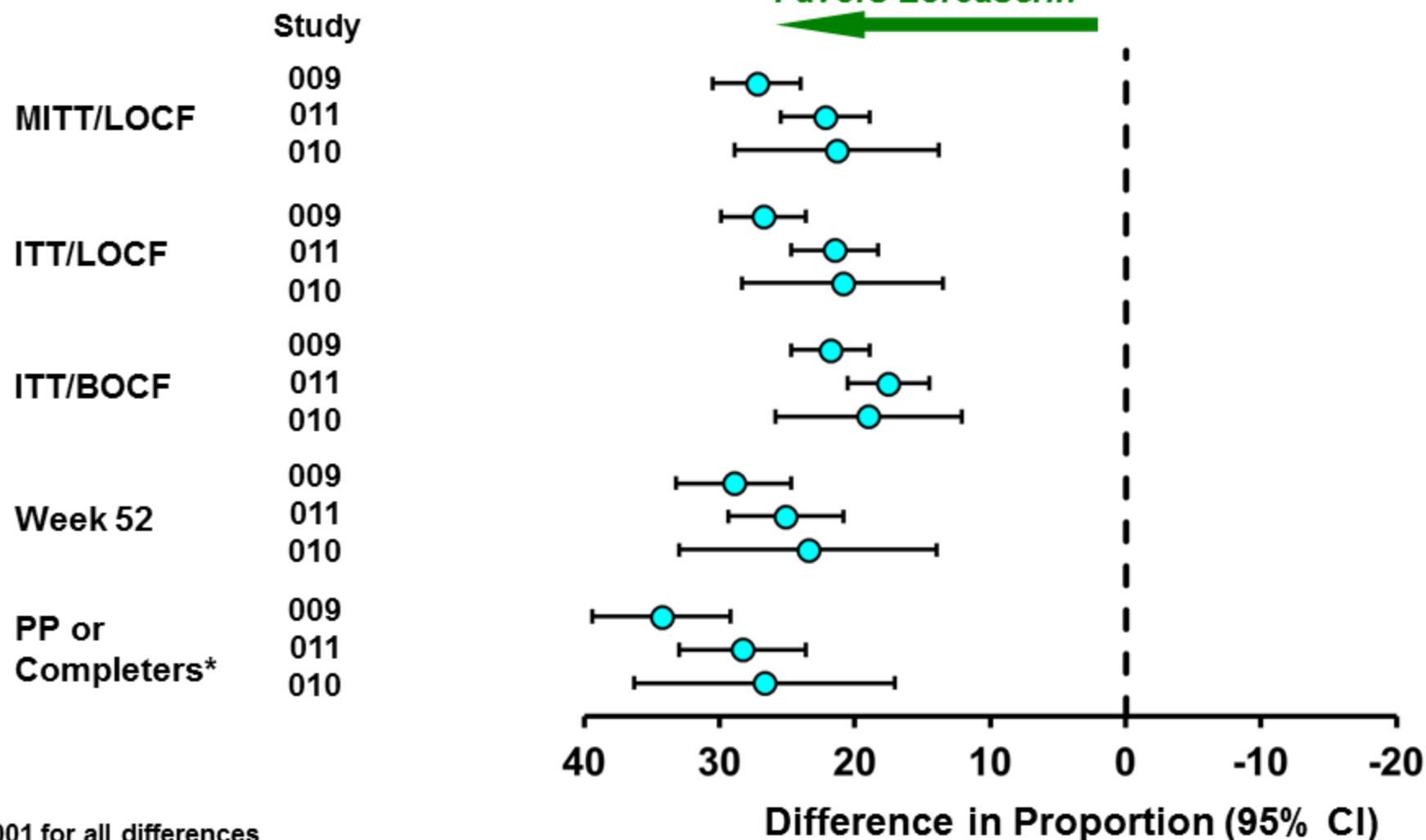
# Difference in Mean Weight Loss was Statistically Significant



\* p-value < 0.001 vs. PBO  
MITT Population

# Placebo-Adjusted Proportion of Patients who Lost $\geq 5\%$ of Baseline Body Weight at Week 52

*Favors Lorcaserin*

p < 0.001 for all differences

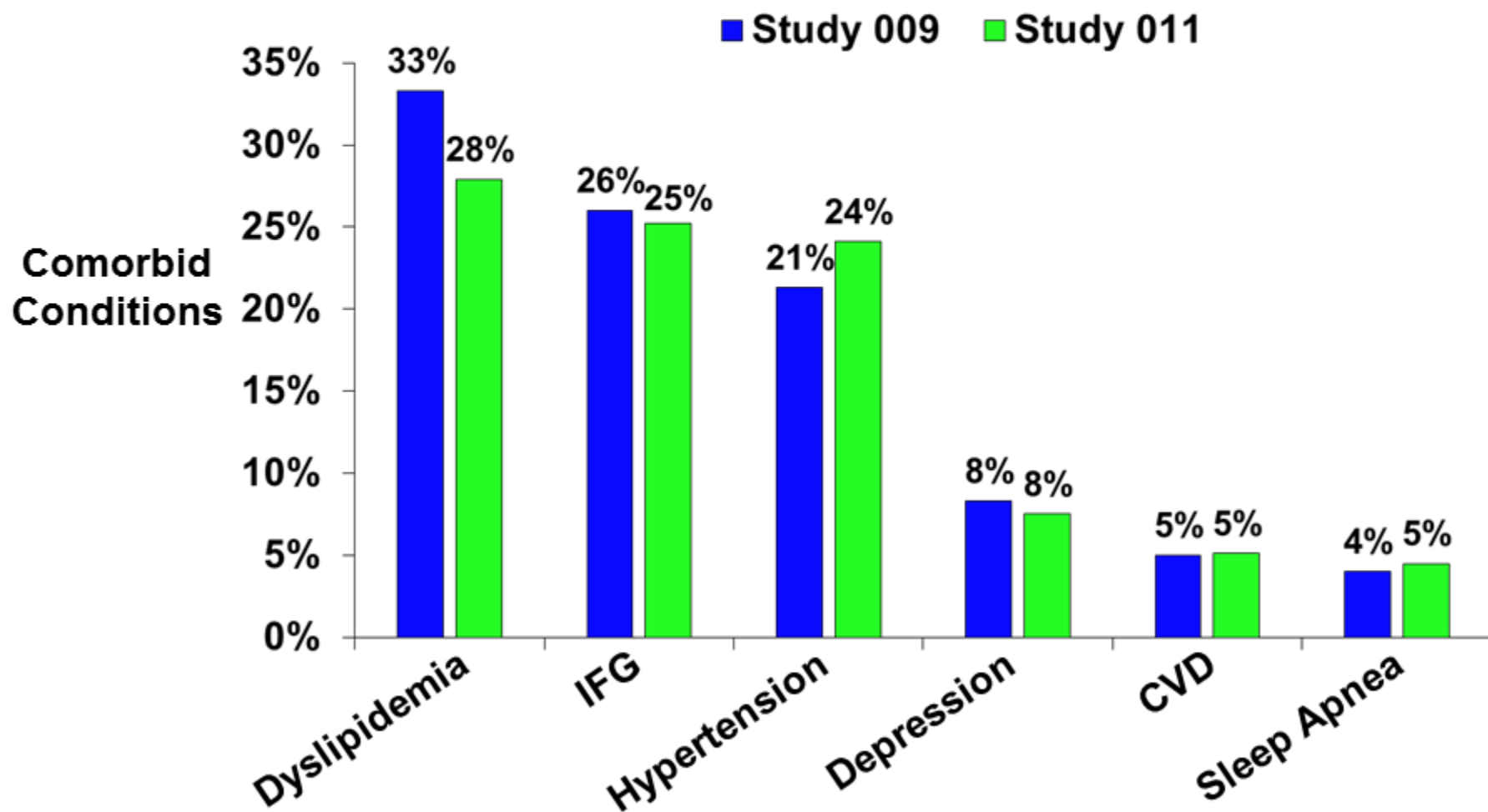
\* Per protocol for Studies 009 and 011; completers for Study 010

# FDA Guidance for Secondary Endpoints of Weight Loss Drugs

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- Improvements in markers of cardiovascular risk factors and changes in common weight-related comorbidities

# Significant Medical Conditions or Impaired Fasting Glucose

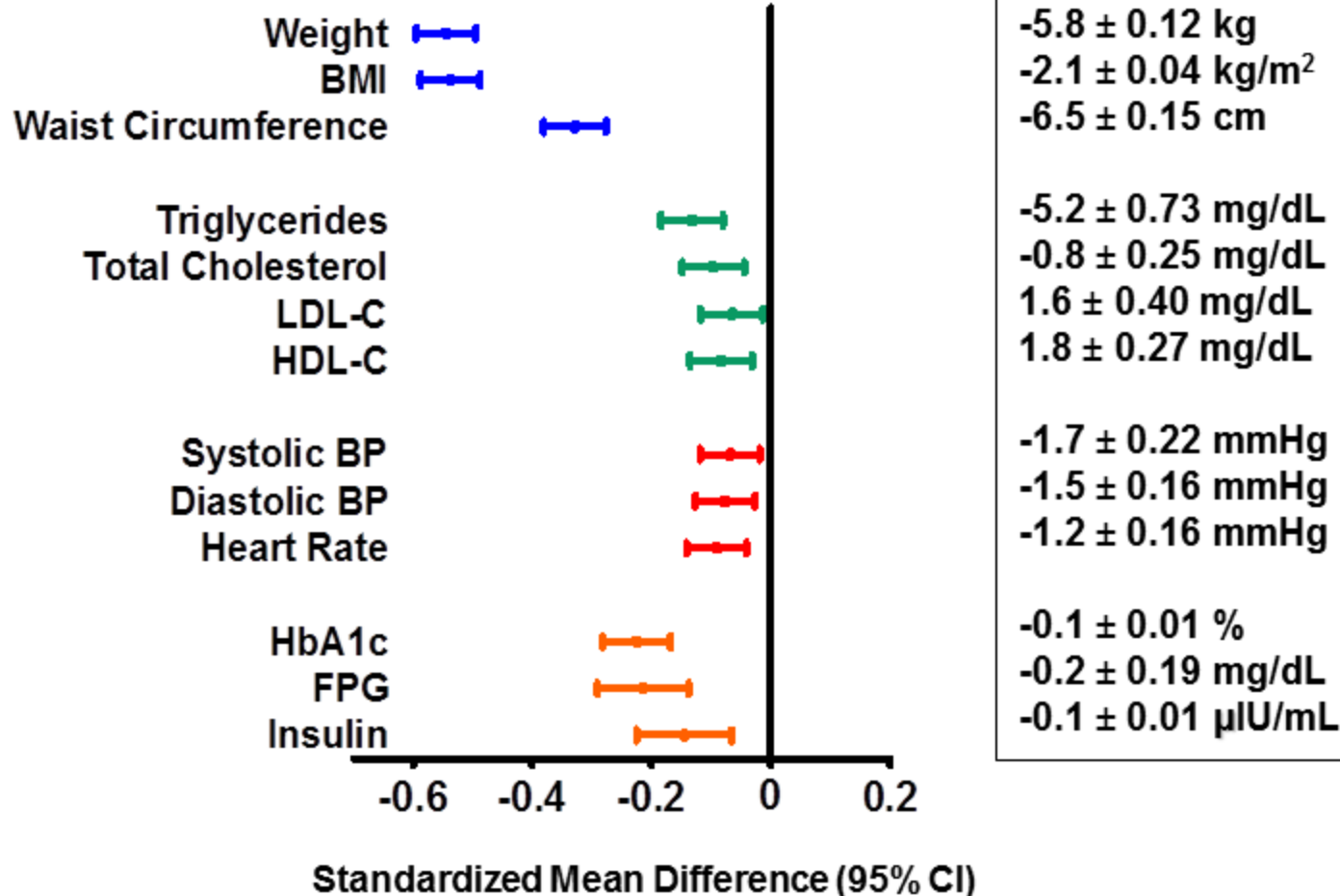


# Lorcaserin Showed Favorable Impact on Cardiometabolic Parameters

Studies 009 and 011

*Favors Lorcaserin*

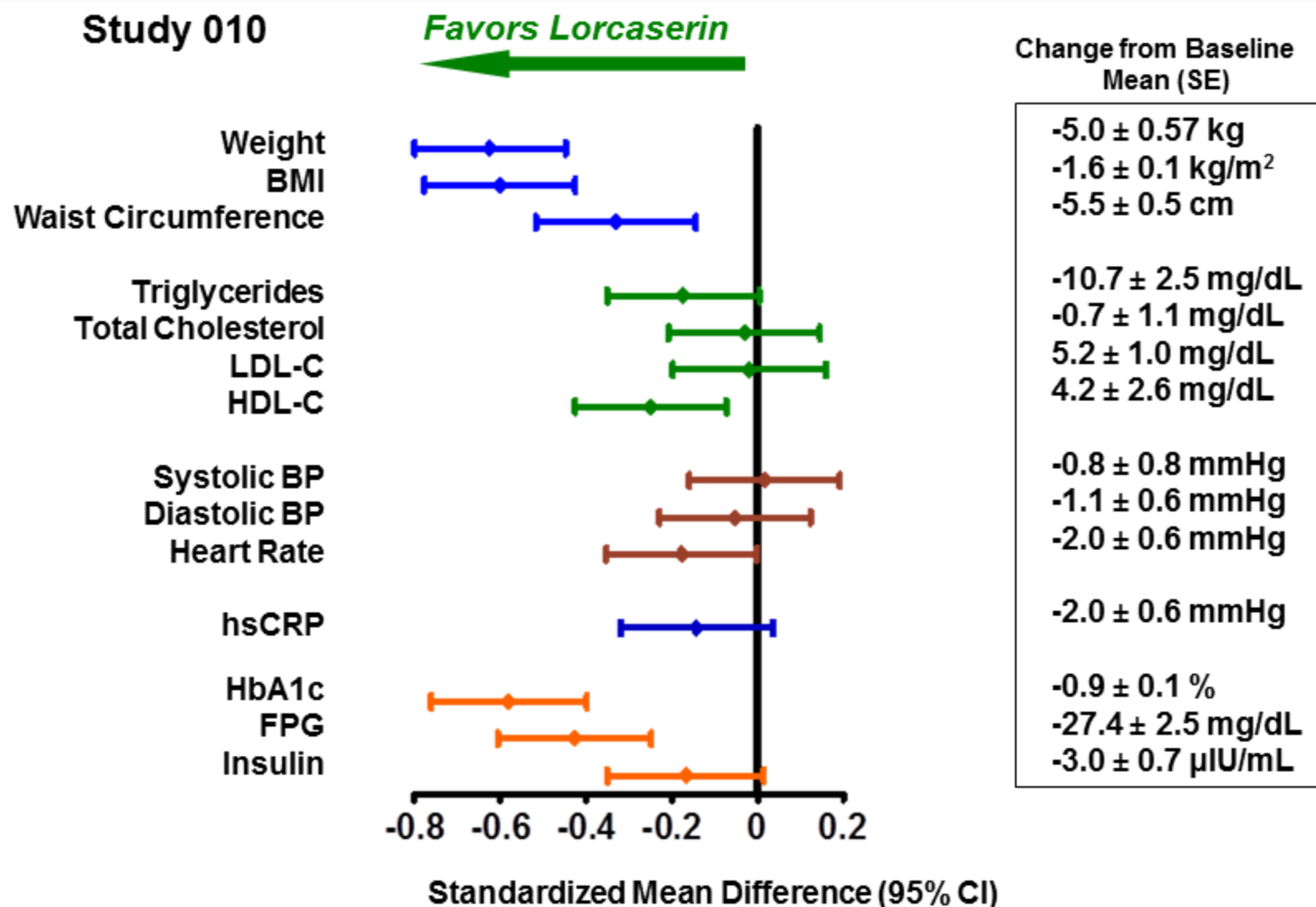
Change from Baseline  
Mean (SE)



## Blood Pressure and Heart Rate Change from Baseline at Week 52 Studies 009 and 011

Parameter / Treatment	n at Wk 52	Baseline Mean (SD)	Week 52 Mean (SD)	Change from Baseline LS Mean
<b>Systolic BP (mm Hg)</b>				
Placebo	3,039	121.0 (11.7)	120.2 (12.5)	-1.0 (0.2)
Lorcaserin 10 mg BID	3,096	121.4 (11.9)	119.7 (12.7)	-1.8 (0.2)
<b>Diastolic BP (mm Hg)</b>				
Placebo	3,039	77.7 (8.1)	76.7 (8.8)	-1.0 (0.2)
Lorcaserin 10 mg BID	3,096	77.4 (8.0)	75.9 (8.7)	-1.6 (0.2)
<b>Heart Rate (bpm)</b>				
Placebo	1,557	69.3 (8.7)	67.8 (9.3)	-1.5 (0.2)
Lorcaserin 10 mg BID	1,798	69.0 (8.8)	66.9 (9.1)	-2.2 (0.2)

# Lorcaserin Showed Favorable Impact on Cardiometabolic Parameters



# Summary of CV Vital Signs and Change from Baseline in Study 010

Parameter / Treatment	n at Wk 52	Baseline Mean (SD)	Week 52 Mean (SD)	Change from Baseline LS Mean
<b>Systolic BP (mm Hg)</b>				
Placebo	248	126.5 (13.5)	125.6 (13.5)	-0.9 (0.9)
Lorcaserin 10 mg BID	251	126.6 (12.7)	125.8 (12.5)	-0.8 (0.9)
<b>Diastolic BP (mm Hg)</b>				
Placebo	248	78.7 (7.9)	77.5 (8.2)	-0.7 (0.6)
Lorcaserin 10 mg BID	251	77.9 (8.0)	76.8 (8.9)	-1.1 (0.6)
<b>Heart Rate</b>				
Placebo	158	72.4 (9.4)	72.5 (10.2)	0.1 (0.7)
Lorcaserin 10 mg BID	170	72.8 (9.7)	70.7 (9.3)	-2.1 (0.8)

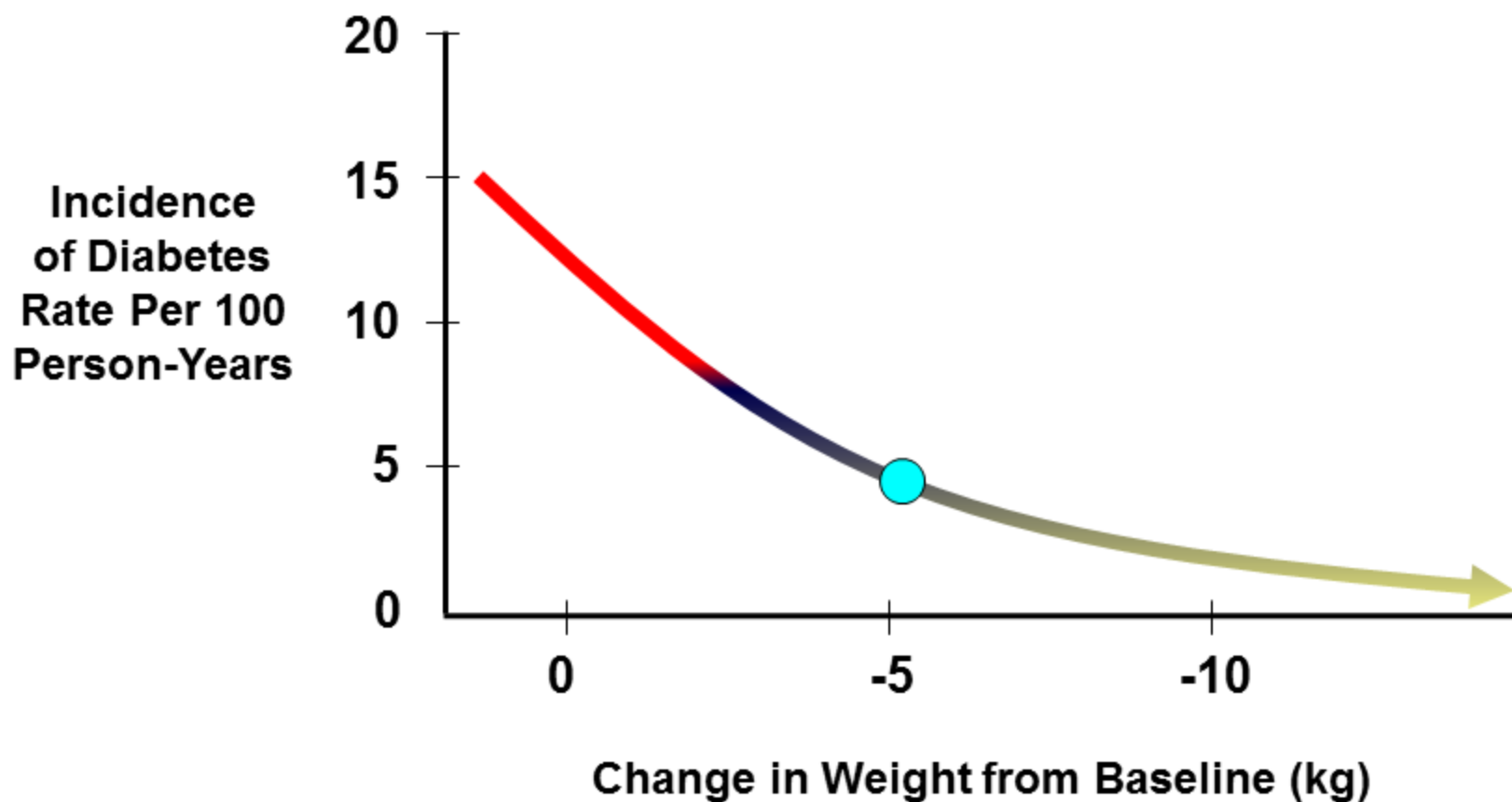


## **Weight Loss and the Prevention of Diabetes**

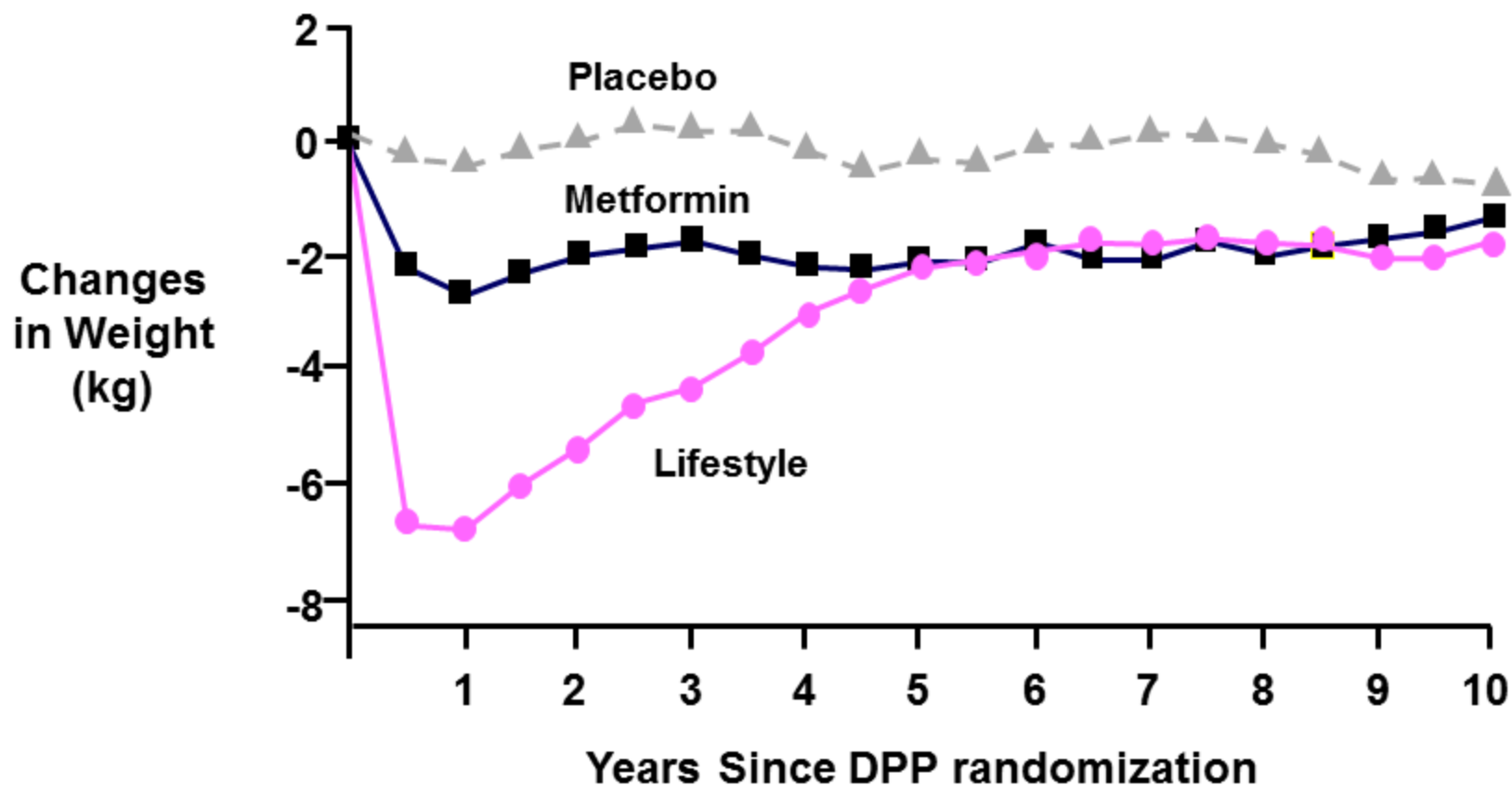
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# 5% Weight Loss in Context to the Diabetes Prevention Program (DPP)

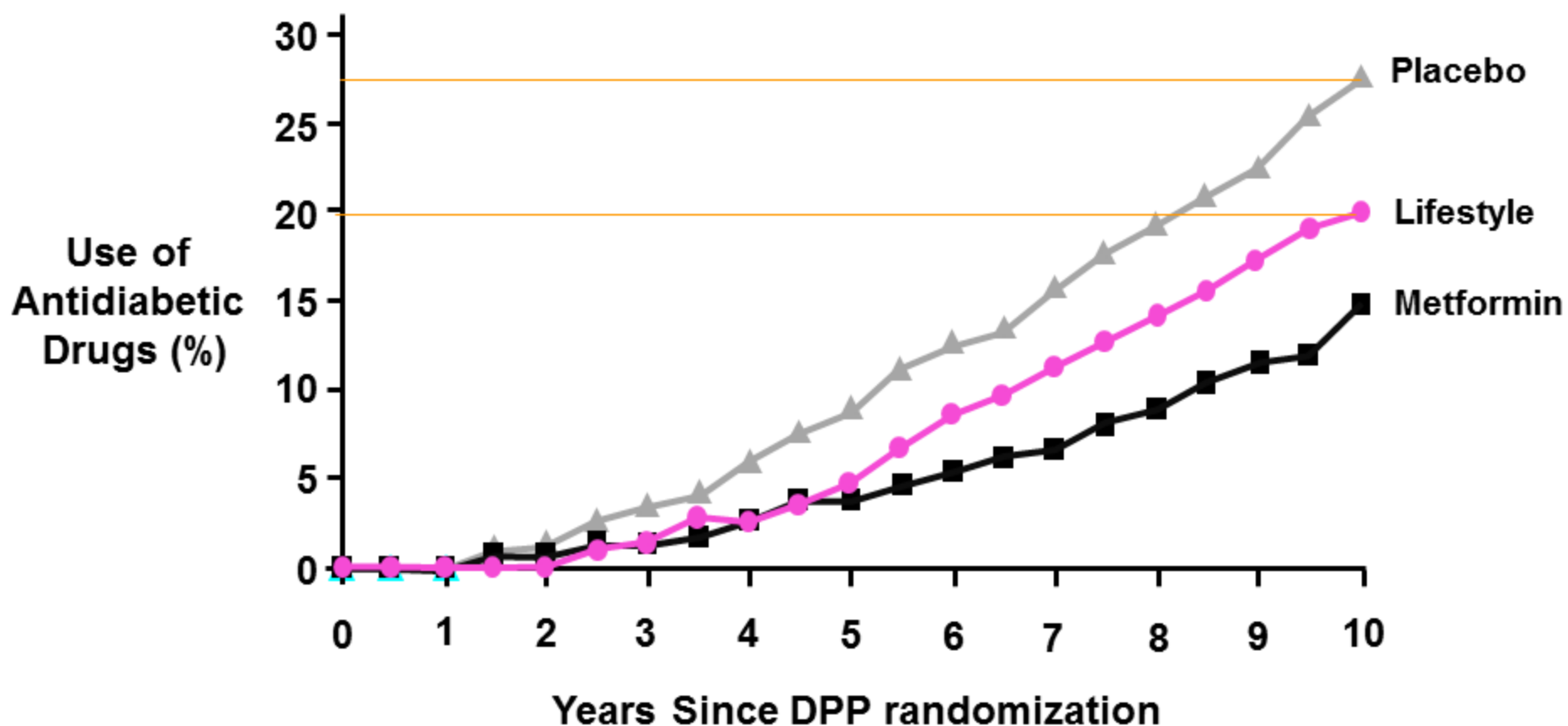
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# Diabetes Prevention Program (DPP) 10-Year Results

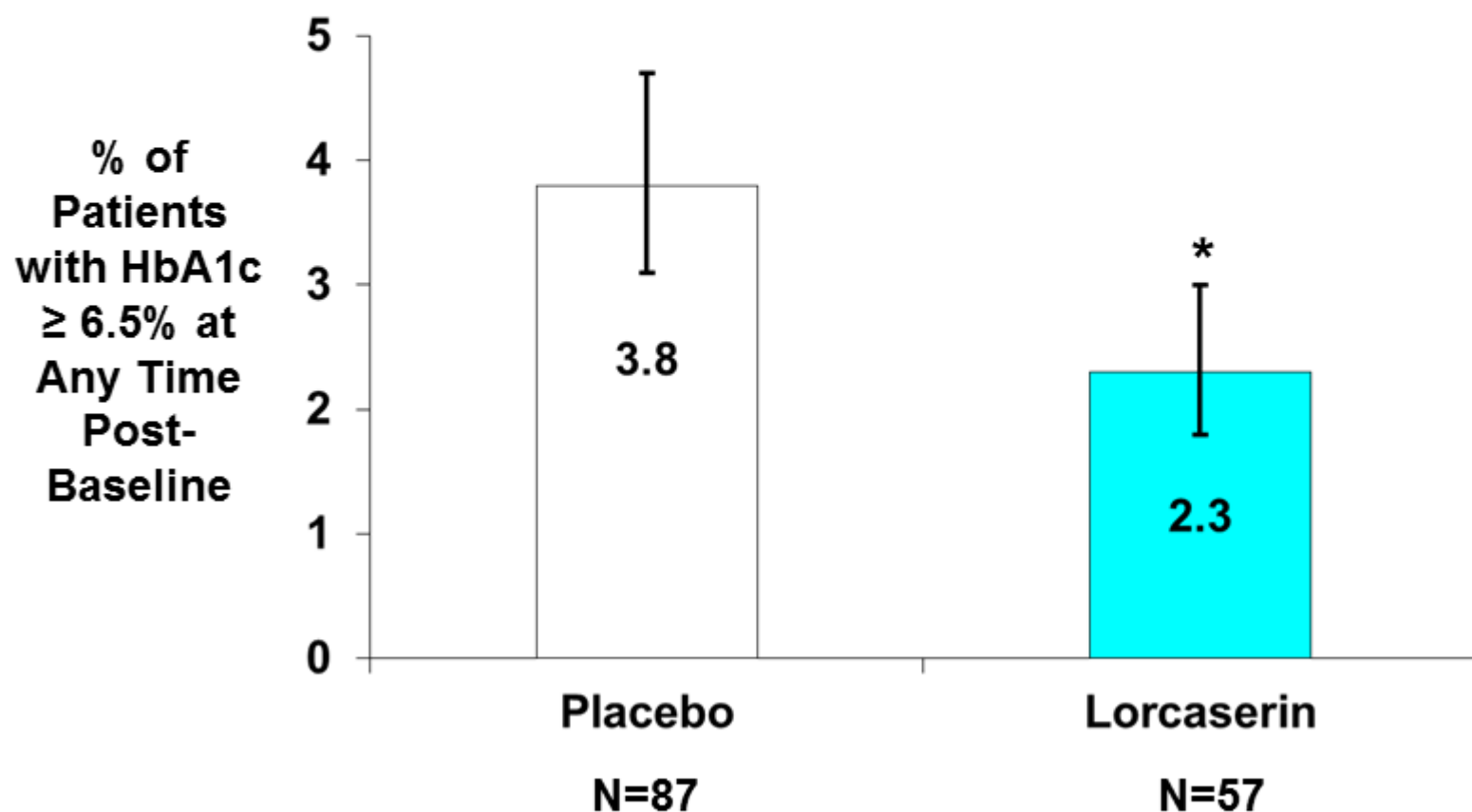


# Diabetes Prevention Program (DPP) 10-Year Results



# New Onset Type 2 Diabetes in Patients Without Diabetes in Studies 009 & 011

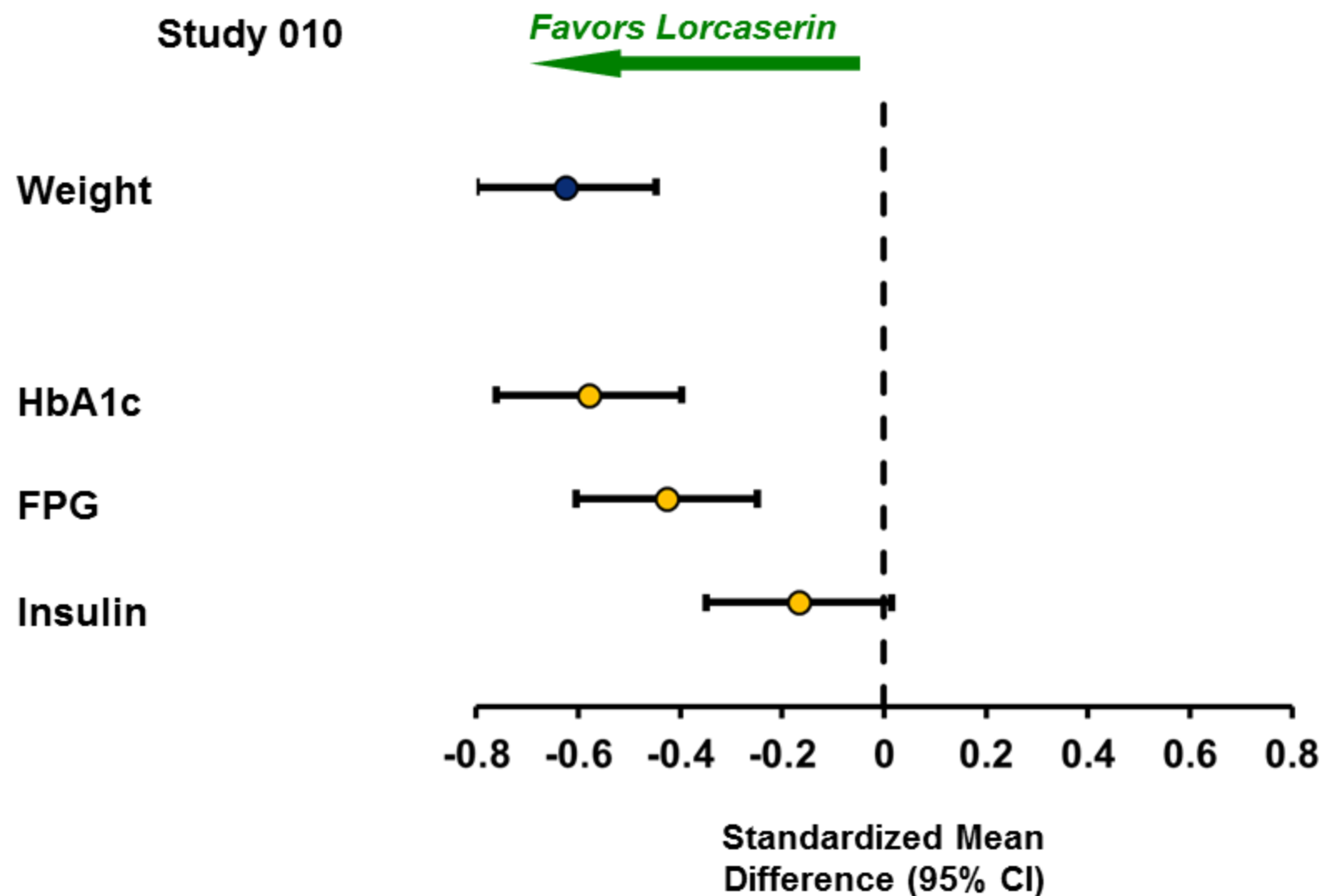
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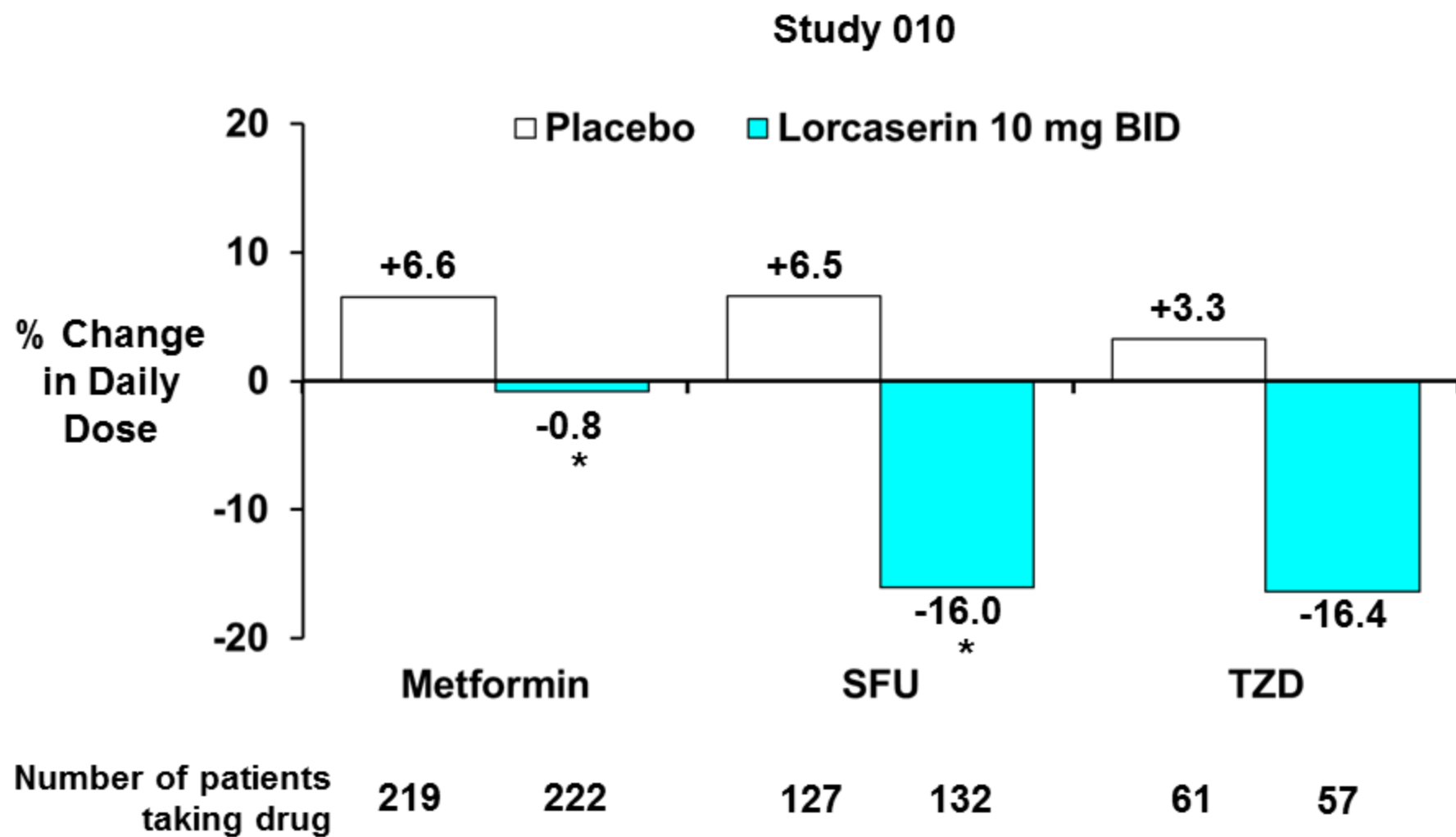
## **Benefits to Patients with Diabetes**

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# Lorcaserin Showed Favorable Impact on Metabolic Parameters



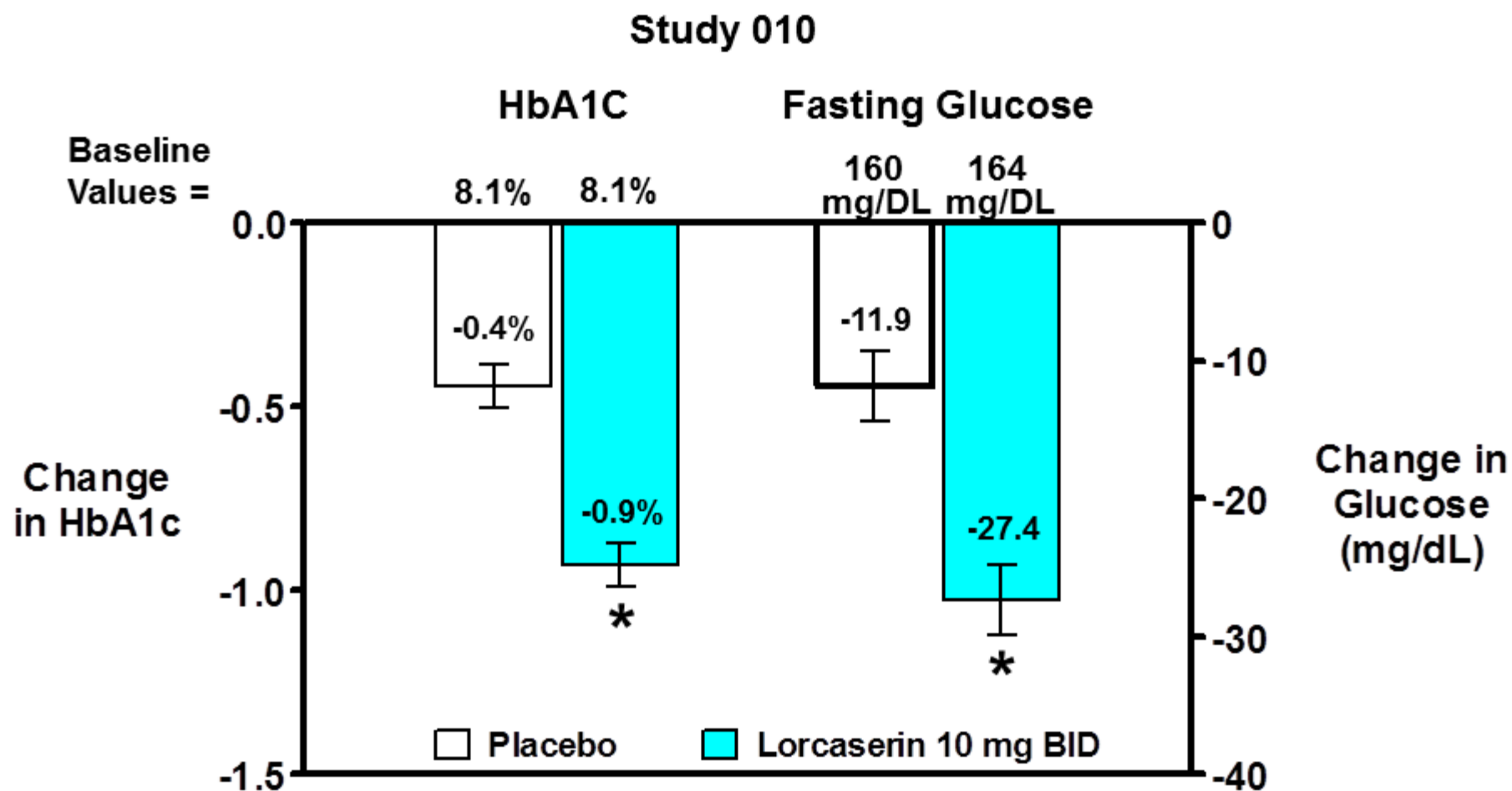
# Lorcaserin Reduced Use of Anti-Diabetic Medications



\*  $p < 0.05$



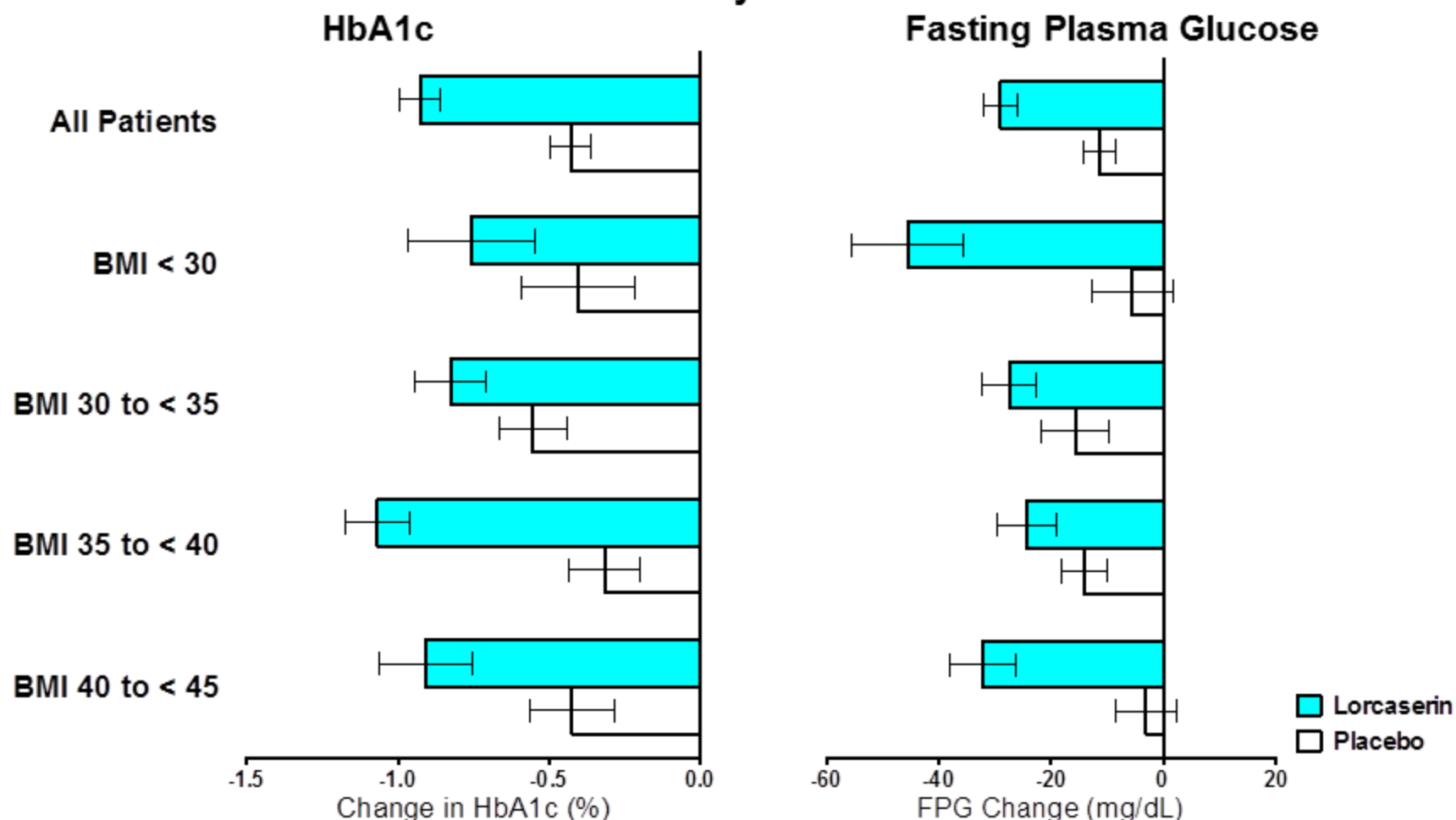
# Lorcaserin Significantly Improved Glycemic Control at Week 52



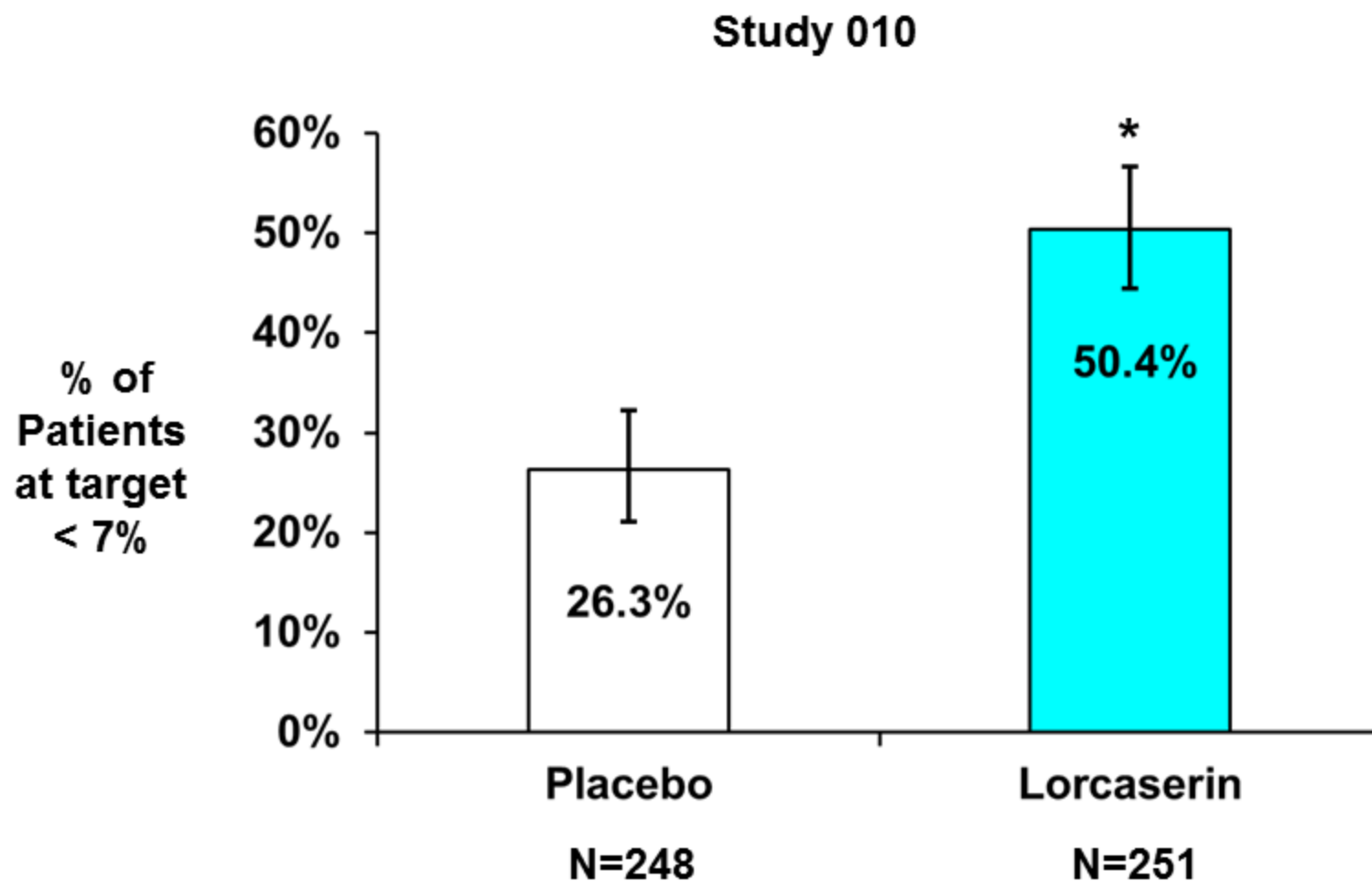
\* p < 0.001; MITT/LOCF, LS mean ± sem; Study 010

# Improved Glycemic Control Across a Range of BMIs

Study 010

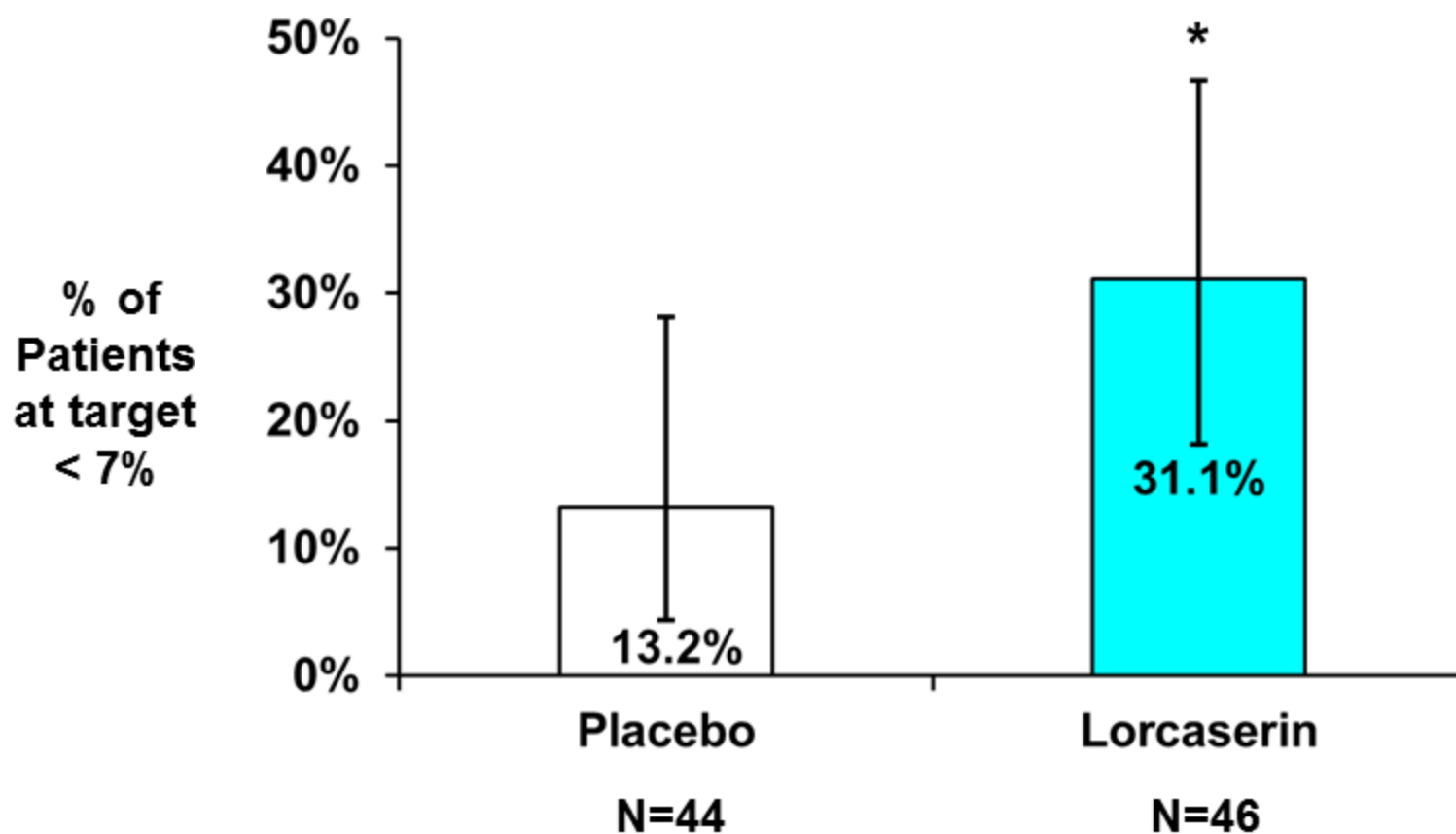


# 50% of Lorcaserin Patients Reached HbA1c of < 7% at Week 52



# 31% of Patients with Baseline HbA1c $\geq 9\%$ Decreased to $< 7\%$ at Week 52

Study 010

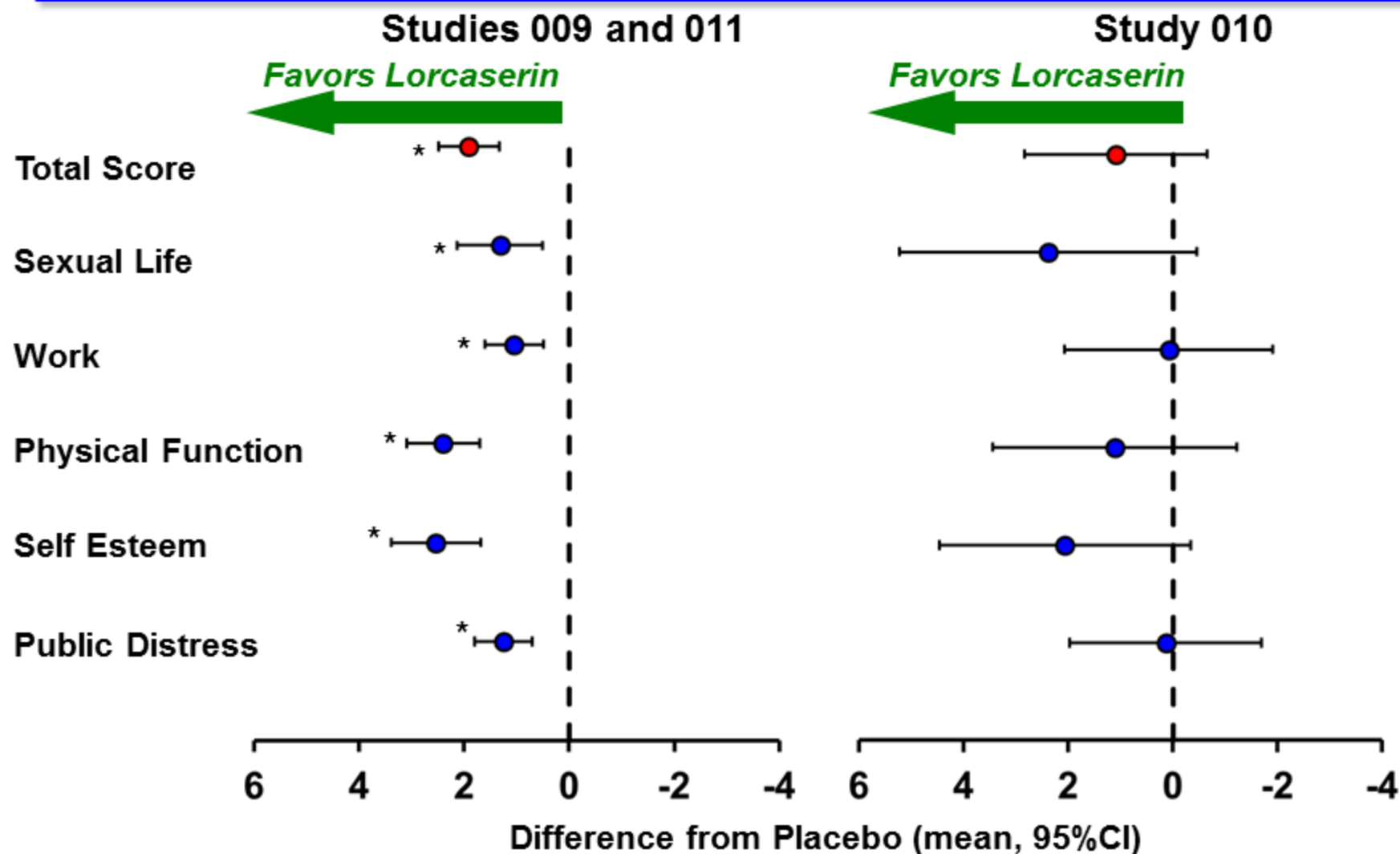


# Quality of Life

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IWQOL-Lite

# Lorcaserin Improved Quality of Life: IWQOL-Lite Instrument



\* All differences between placebo and lorcaserin were statistically significant  $p < 0.001$

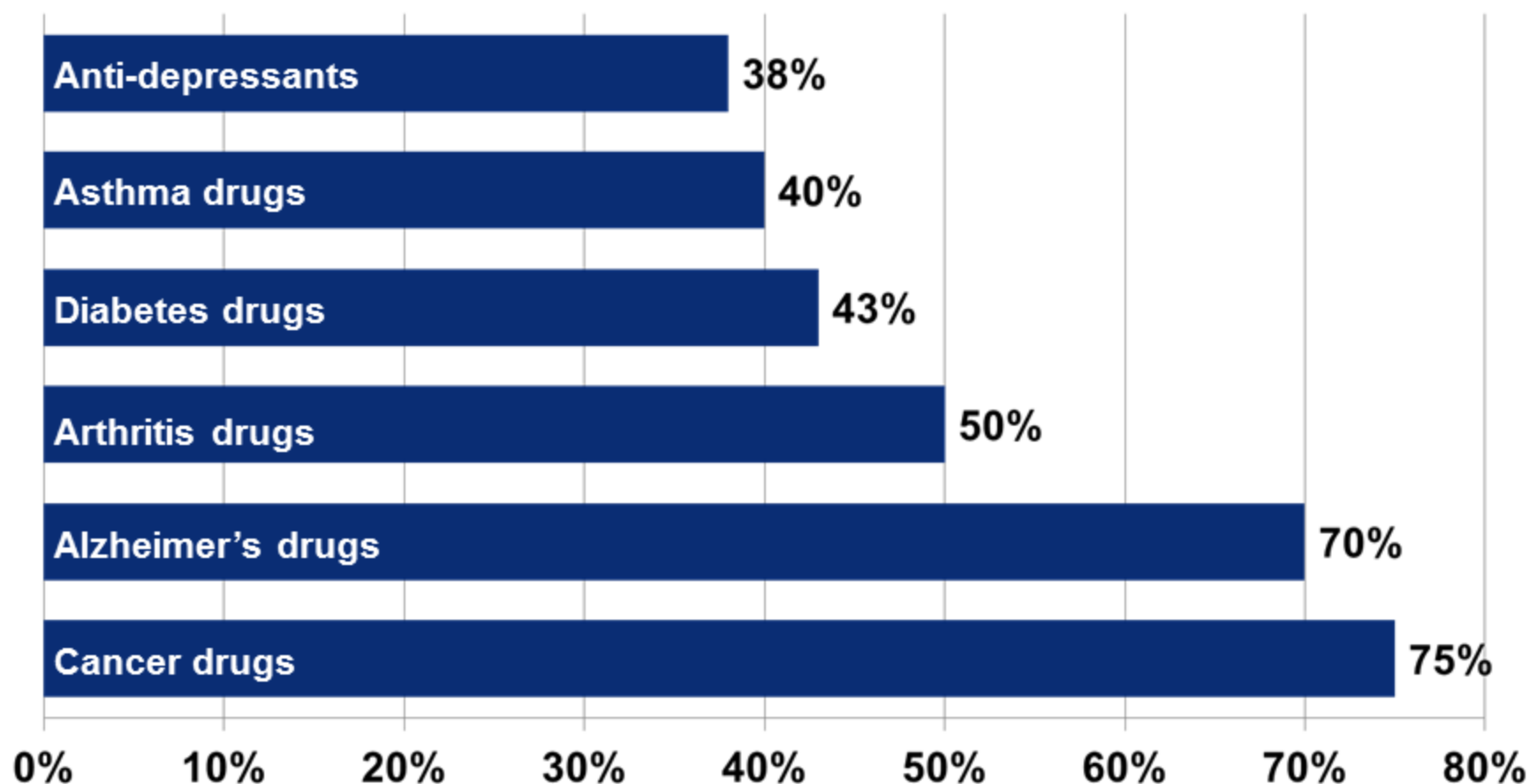
# **Responder Analysis**

## **What Really Matters to Patients and Physicians**

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# One Size Does Not Fit All

Percentage of the Patient Population for Which a Particular Drug is Ineffective, on Average

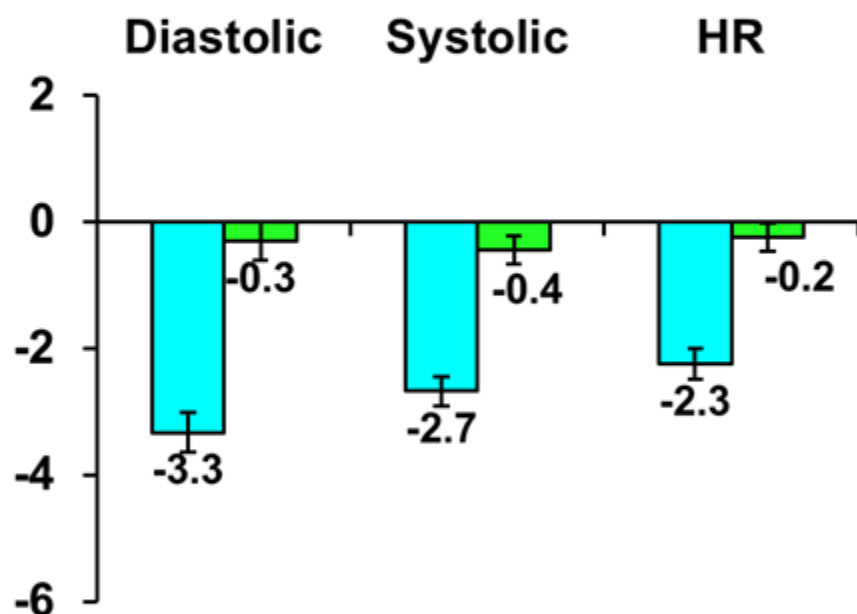




## Patients Achieving $\geq 5\%$ Weight Loss

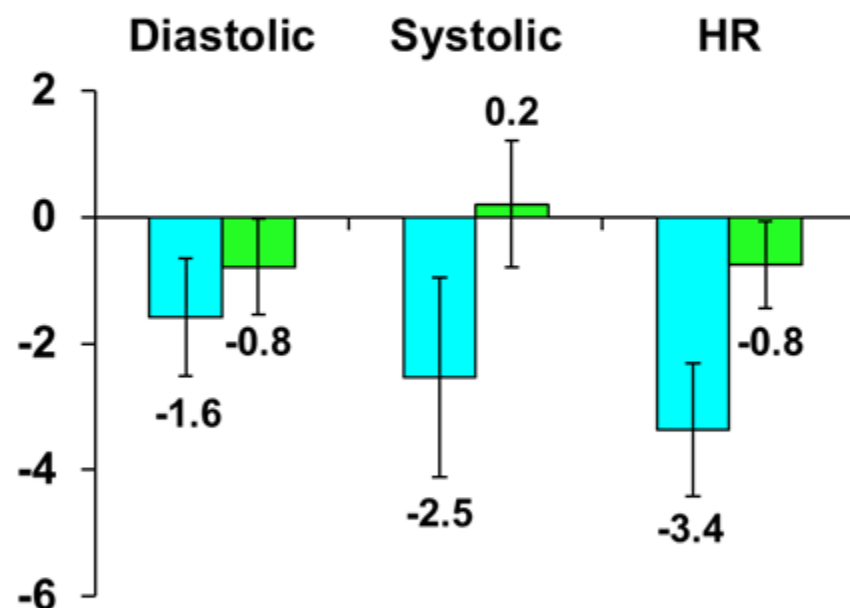
Patients achieving $\geq 5\%$ Weight Loss	Study 009		Study 011		Study 010	
	PBO	LOR	PBO	LOR	PBO	LOR
% of ITT Population	14.2%	35.6%	18.3%	36.2%	11.5%	30.5%
% Total Weight Loss	10.7%	11.7%	11.1%	12.0%	8.9%	10.8%
Mean weight loss (lbs)	23.4	25.6	24.7	26.0	20.3	24.0
Change in BMI	-3.8	-4.2	-4.0	-4.3	-3.2	-3.8
Number of Patients	225	567	293	581	29	78

# Responder Analysis: Heart Rate, Systolic BP, and Diastolic BP

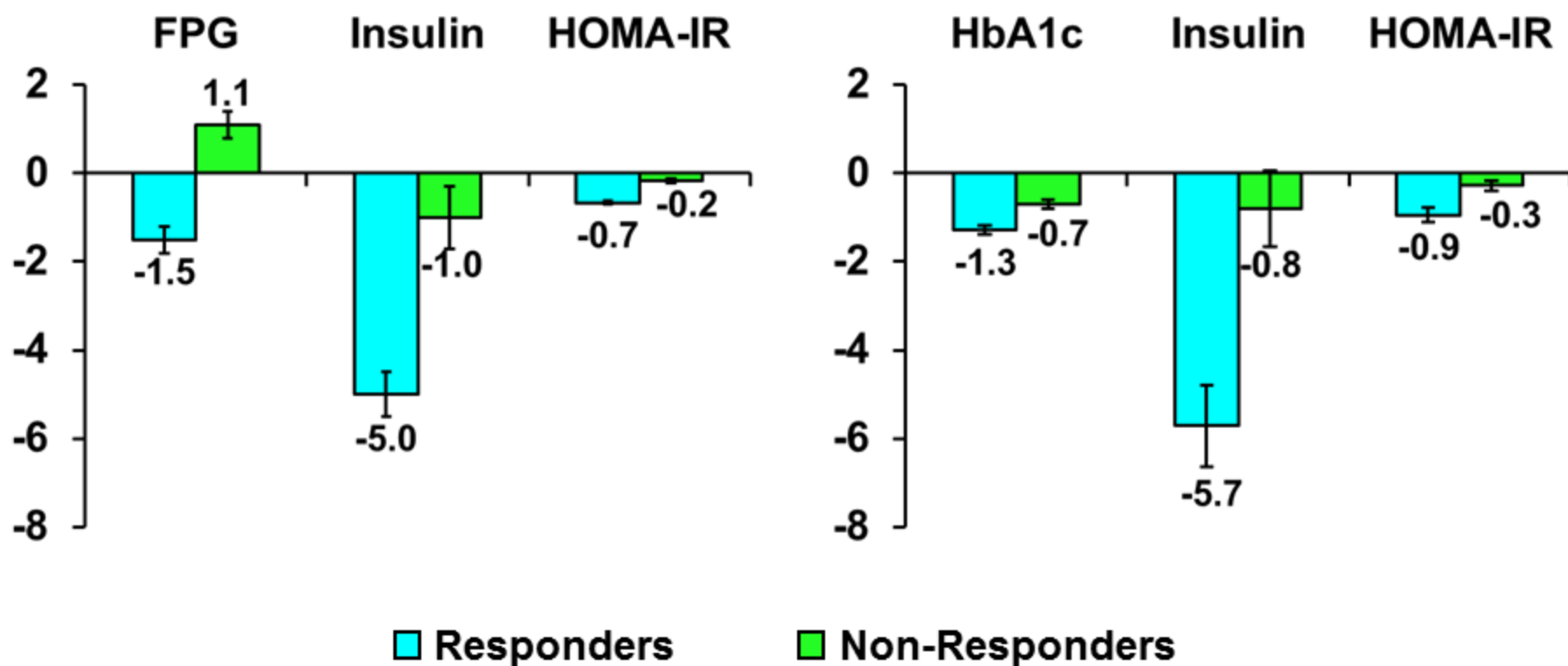


■ Responders

■ Non-Responders



# Responder Analysis: Glycemic Parameters



# Identifying Responders

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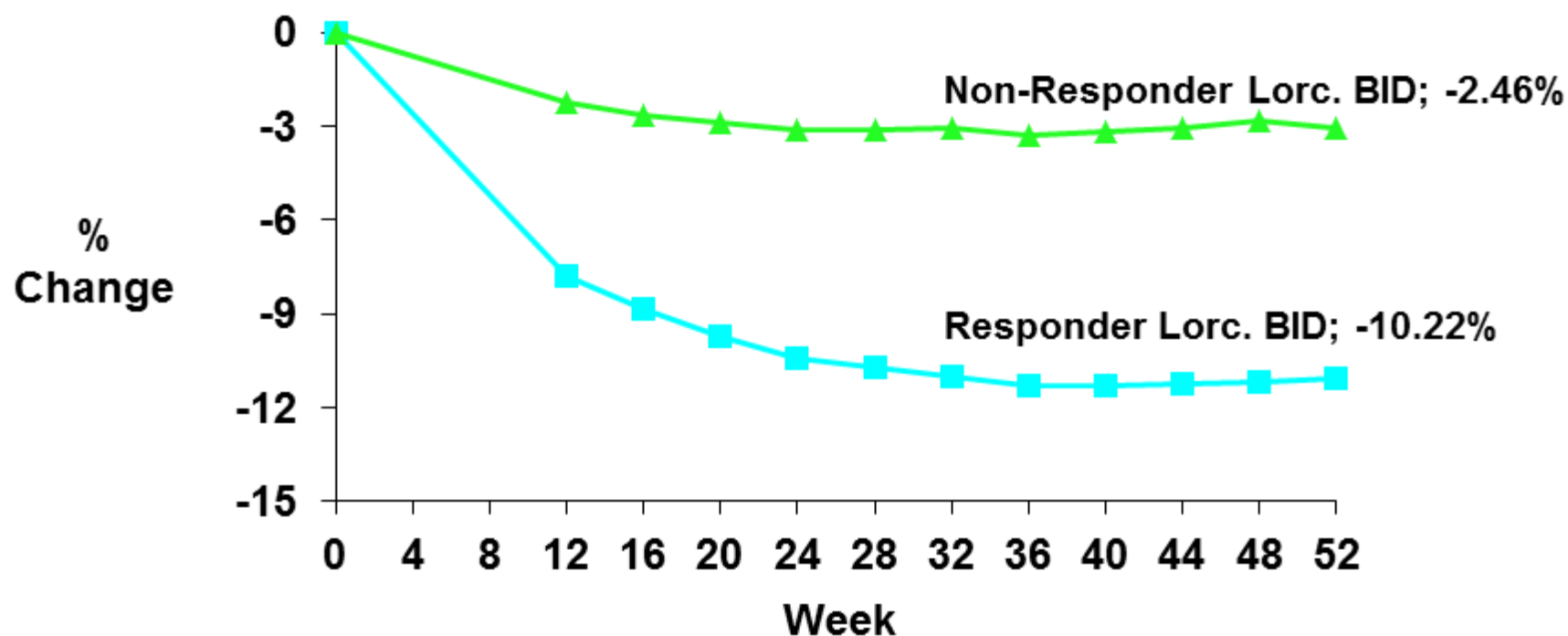
## Predicting Lorcaserin Responders: How Long to Dose to Identify Non-Responders?

Week of Prediction	% Weight Loss	Sensitivity (95% CI)	Specificity (95% CI)	AUC for ROC <sup>a</sup>
Week 2	1.5	0.66 (0.63, 0.69)	0.63 (0.59, 0.67)	0.69
Week 4	2.5	0.75 (0.72, 0.78)	0.63 (0.58, 0.67)	0.75
Week 8	3.9	0.75 (0.72, 0.76)	0.70 (0.66, 0.74)	0.80
Week 12	4.6	0.80 (0.77, 0.83)	0.72 (0.68, 0.76)	0.85

<sup>a</sup> Higher number signifies better prediction.

# Those Who Lost 4.5% Total Body Weight by Week 12 Were Week 52 Responders

Studies 009 and 011, MITT



Week 12	Completed Week 12	Completed Week 52
≥ 4.5% wt loss	1,369/3,098 (44.2%)	1,083/1,369 (79.1%)
< 4.5% wt loss	1,168/3,098 (37.7%)	680/1,168 (58.2%)

## Summary and Conclusions

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- We treat weight loss to
  - Reduce cardiovascular risk
  - Prevent diabetes / improve glycemic control
  - Provide benefits important to individual health
- Lorcaserin → clinically meaningful weight loss
  - Improvements in CV and metabolic risk biomarkers
  - Improvements in HbA1c and FPG
  - Approx. 1/3 of patients lost 11% or 25 lbs



## Review of Safety

William Shanahan, MD  
Sr. Vice President & Chief Medical Officer  
Arena Pharmaceuticals



# Overall Summary of AEs

	Pooled Studies 009 and 011		Study 010	
	Placebo n=3,185	Lor BID n=3,195	Placebo n=252	Lor BID n=256
	YEAR 1			
Any AE	75.5%	82.8%	84.5%	92.2%
Any SAE	2.3%	2.7%	6.7%	6.3%
Dropouts due to AE	5.6%	7.1%	4.3%	8.6%
	YEAR 2			
Any AE	73.9%	78.5%	--	--
Any SAE	3.2%	2.6%	--	--
Dropouts due to AE	2.7%	3.7%	--	--
Death, n (%) <sup>*</sup>	2 (0.06%)	0	--	--

<sup>\*</sup> 1 death during Year 2: patient on placebo in Year 2, lorcaserin in Year 1

# AEs with Lorcaserin Incidence $\geq 1\%$ Over Placebo (Based on 009 and 011 incidence)

	Pooled Studies 009 and 011		Study 010	
	Placebo n=3,185	Lorcaserin 10 mg BID n=3,195	Placebo n=252	Lorcaserin 10 mg BID n=256
Headache	10.1%	16.8%	7.1%	14.5%
Upper respiratory infection	12.3%	13.7%	14.7%	13.7%
Dizziness	3.8%	8.5%	6.3%	7.0%
Nausea	5.3%	8.3%	7.9%	9.4%
Fatigue	3.6%	7.2%	4.0%	7.4%
Urinary tract infection	5.4%	6.5%	6.0%	9.0%
Constipation	3.9%	5.8%	4.8%	4.3%
Dry mouth	2.3%	5.3%	1.2%	1.6%
Viral gastroenteritis	3.2%	4.3%	4.4%	7.0%
Vomiting	2.6%	3.8%	3.6%	3.5%
Oropharyngeal pain	2.5%	3.5%	4.8%	4.3%

# Discontinuations due to AEs $\geq 0.4\%$ in Studies 009 and 011 or $> 2$ Patients in Study 010

	Pooled Studies 009 and 011		Study 010	
	Placebo n=3,185	Lorcaserin 10 mg BID n=3,195	Placebo n=252	Lorcaserin 10 mg BID n=256
Any Withdrawal for AE	5.6%	7.1%	4.3%	8.6%
Headache	0.8%	1.3%	0	0.4%
Depression	0.5%	0.9%	0	0.8%
Dizziness	0.2%	0.7%	0	0.4%
Nausea	0.4%	0.7%	0	0
Anxiety	0.3%	0.4%	0.8%	0

# SAEs: Lorcaserin Incidence > Placebo and > 2 Patients; Year 1

C-60

	Pooled Studies 009 and 011		Study 010	
	Placebo n=3,185	Lorcaserin 10 mg BID n=3,195	Placebo n=252	Lorcaserin 10 mg BID n=256
Any SAE	2.3%	2.7%	6.7%	6.3%
Cholecystitis/cholelithiasis	0.2%	0.3%	0	0.4%
Cellulitis	< 0.1%	0.1%	0.8%	0
Intervertebral disc protrusion	0.1%	0.1%	0	0.4%
Myocardial infarction	0	0.1%	0.8%	0

# Post Hoc Cardiovascular Clinical Events Committee

## Adjudication of SAEs in Studies 009 and 011

Adjudicated AE Term	Placebo N=3,185	Lorcaserin 10 mg BID N=3,195	Lorcaserin 10 mg QD N=801	Lorcaserin/ Placebo Yr 2 N=1,553
Unstable angina	2	1	0	1
MI, spontaneous	0	4	0	0
MI, silent	1	0	0	0
Stroke, ischemic	1	0	0	0
TIA	2	0	0	0
Total cardiac	3	5	0	1
Overall Total	6	5	0	1

## SAEs of Ischaemic Heart Disease and Cerebrovascular Disorders: Not Adjudicated for MACE; Study 010

Preferred Term	Placebo	Lorcaserin 10 mg	
		BID	QD
	N=252	N=256	N=95
	n	n	n
Number of patients with any SAE	2	1	4
Coronary artery occlusion	0	1	0
Myocardial infarction	2	0	0
Angina pectoris	0	0	1
Coronary artery disease	0	0	1
Total Cardiac	2	1	2
Cerebrovascular accident	0	0	2

# CV Safety Assessment

- No identified CV safety signal for lorcaserin
- EMDAC meeting on March 28-29 provided recommendations for CV safety studies for obesity drugs
- We are committed to working with the FDA to design appropriate post-marketing studies to assess CV safety as necessary



# Echocardiographic Safety Evaluation of Cardiac Valve Function



# FDA-Defined Valvulopathy

- Aortic and Mitral Regurgitation
  - Rated from absent to severe
- FDA defines significant valvular regurgitation as:

MILD or greater aortic regurgitation

**OR**

MODERATE or greater mitral regurgitation

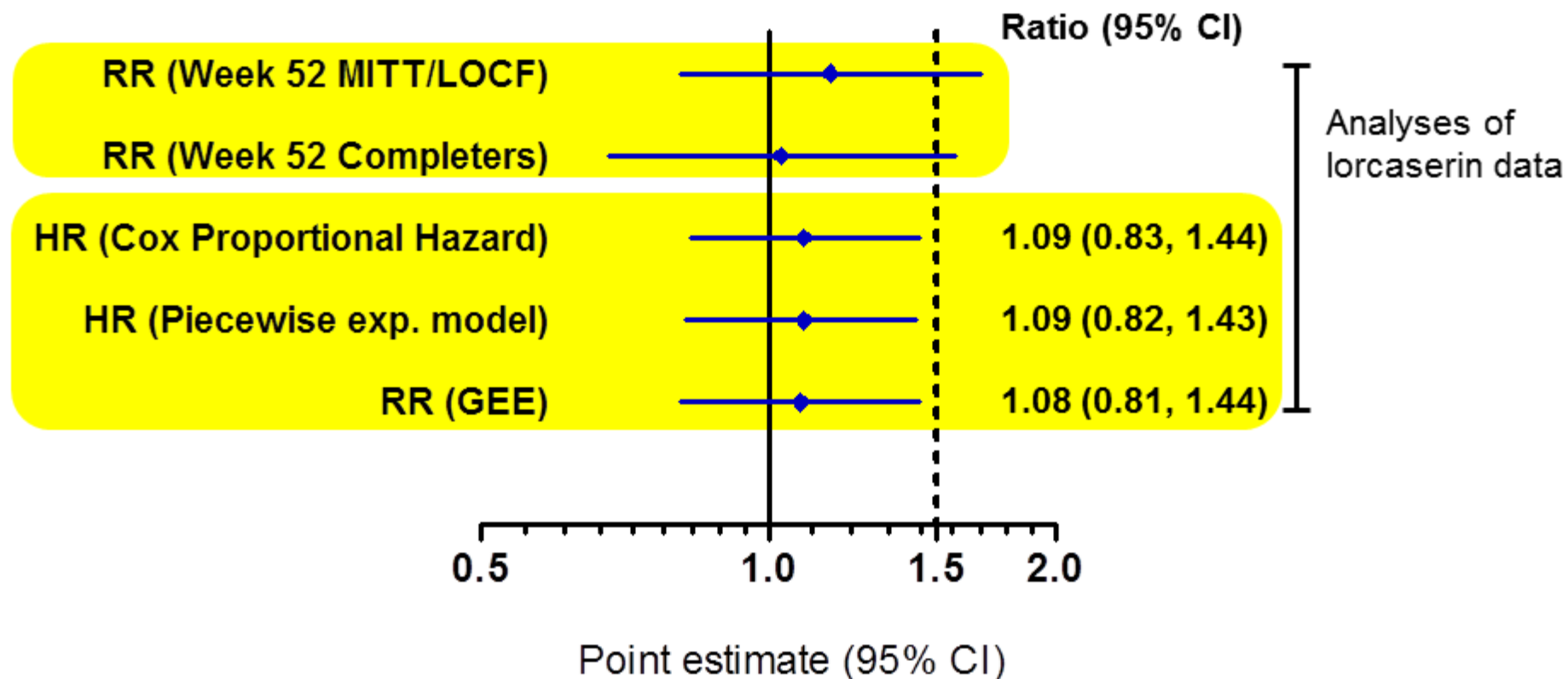
# Cardiac Valvular Function Assessed by Serial Echocardiographs

- > 20,000 echocardiographs
- 7,800 patients
- Evaluated at baseline or screening, and every 6 months thereafter
- 426 patients received lorcaserin BID for 2 years

# Cardiac Valvular Function

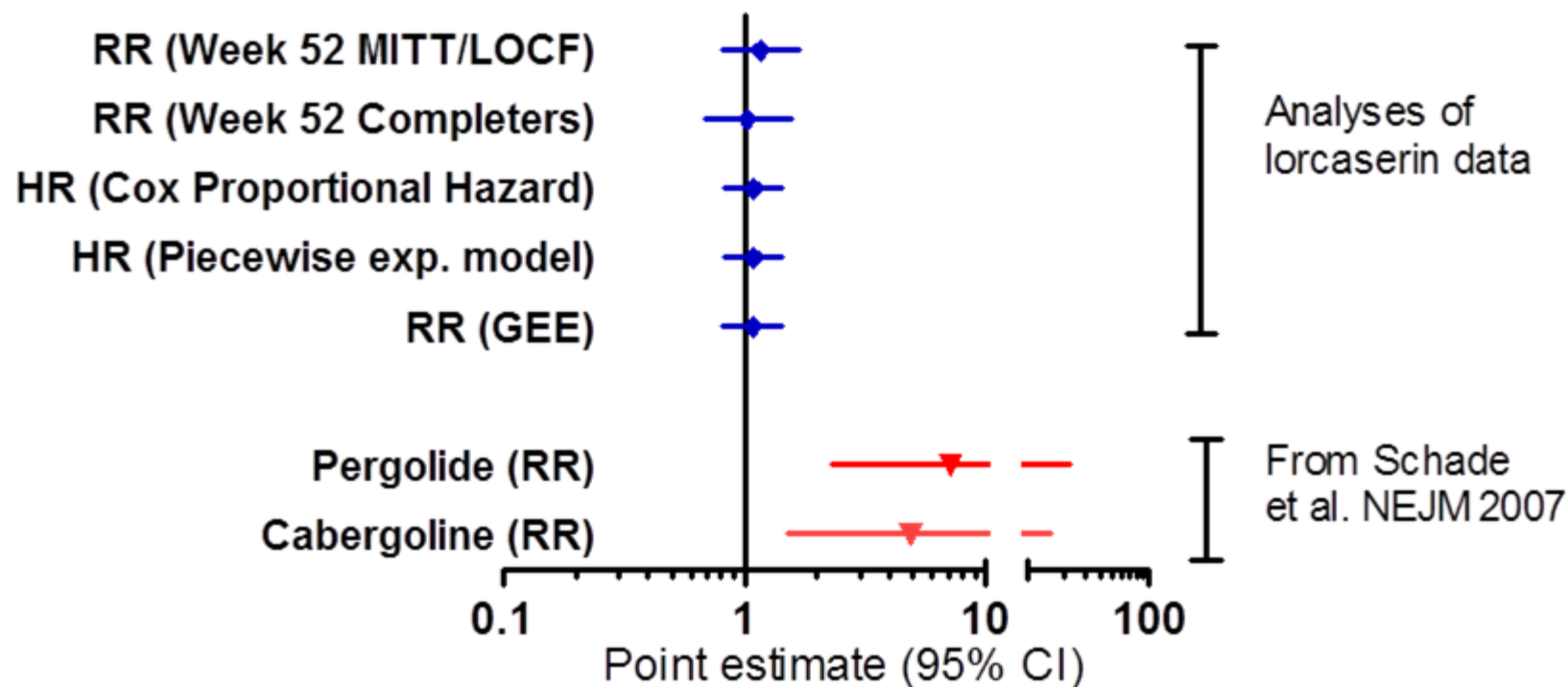
- Week 52 rates of valvulopathy
  - Lorcaserin = 2.37%
  - Placebo = 2.04%

# Risk Analyses for FDA-defined Valvulopathy Phase 3 Studies



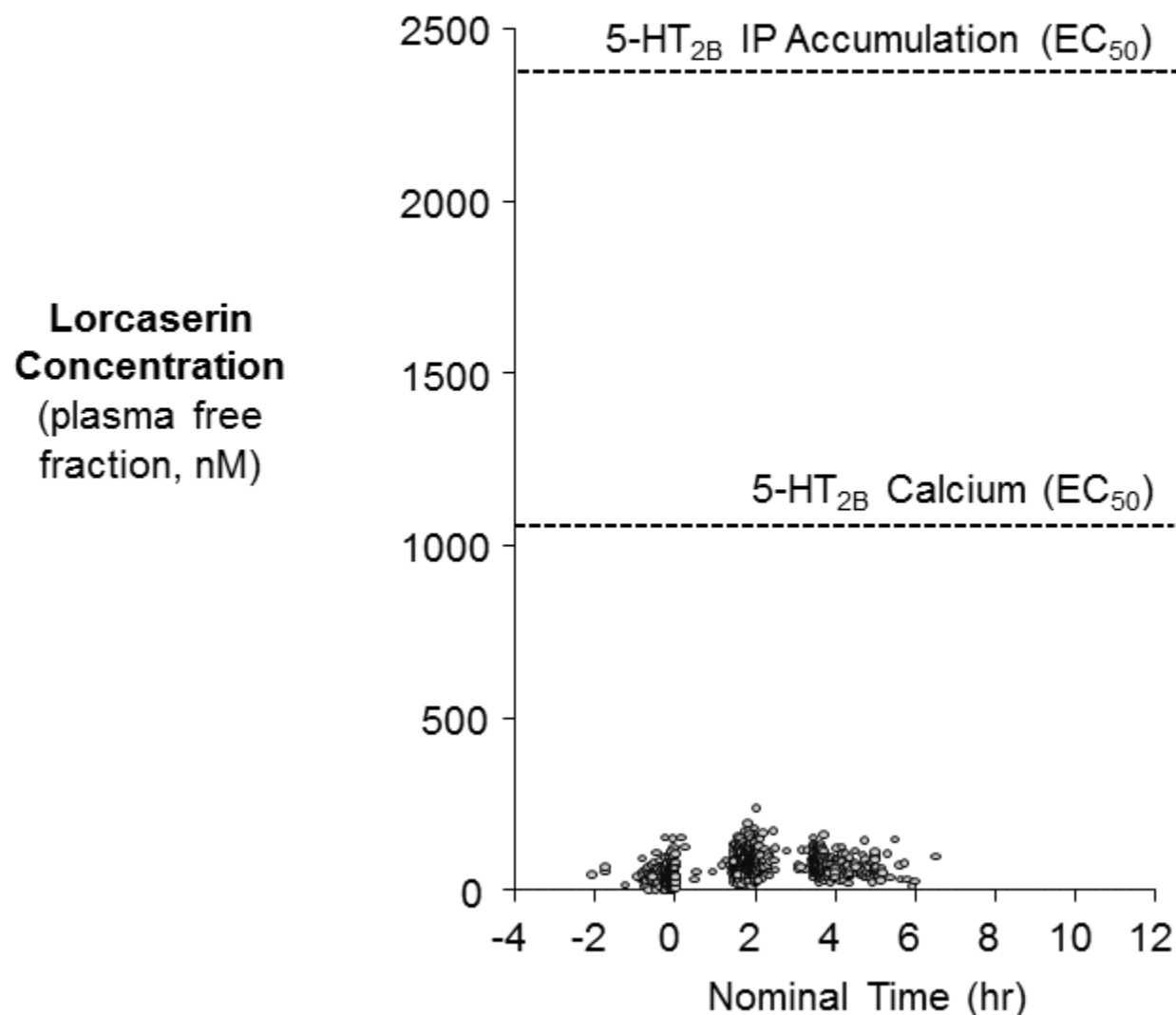
RR = relative risk; HR = hazard ratio;  
GEE = generalized estimating equations

# Risk Analyses for FDA-Defined Valvulopathy Phase 3 Studies



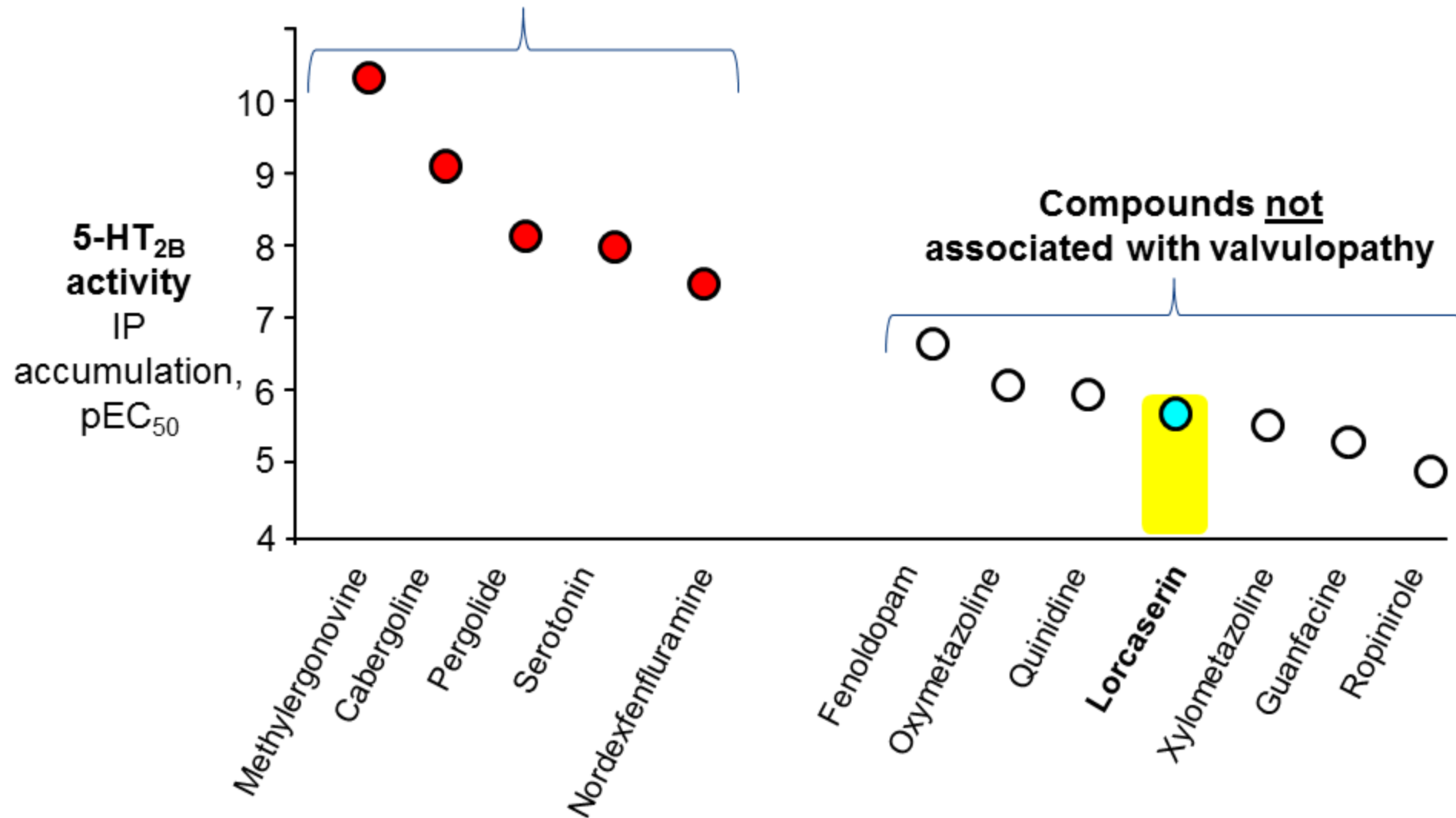
RR = relative risk; HR = hazard ratio;  
GEE = generalized estimating equations

# Lorcaserin Does Not Activate 5-HT<sub>2B</sub> Receptors at the MRD



# Lorcaserin is Not Associated with Valvulopathy

Compounds associated with valvulopathy



## Valvulopathy Summary

- Appropriately powered risk ratio analyses rule out a 1.5 fold or greater incidence of FDA valvulopathy with lorcaserin treatment for up to 2 years
- Receptor pharmacology studies strongly suggest that lorcaserin will not activate the 5-HT<sub>2B</sub> receptor at therapeutic doses



# Clinical Summary

- Medically meaningful weight loss in three phase 3 trials
  - Improvements in anthropometric, cardiovascular and quality of life parameters
  - Significant weight loss in patients with type 2 diabetes
    - Improvements in glycemic control: HbA1c, fasting glucose, and use of medications to treat diabetes
- Safety data across all studies are consistent



## **Preclinical Safety: Mammary Tumors and Astrocytoma**

Dominic Behan, PhD  
Exec. Vice President & Chief Scientific Officer  
Arena Pharmaceuticals

# Lorcaserin Carcinogenicity Program

- Genotoxicity assays negative
- 2-Year carcinogenicity in mice
  - No lorcaserin-related tumors
- 2-Year carcinogenicity in Sprague-Dawley rats
  - Astrocytoma in males at high dose
  - Mammary tumors in females

# Assessing Relevance of Tumor Findings in Rodents

- Two ways to establish a rodent tumor is reasonably irrelevant to human risk
  - Safety margin:  
Drug exposure level needed to cause the tumor in rodents is substantially greater than human exposure at recommended dose
  - Rodent specific mechanism
- Either is sufficient

# Lorcaserin CNS Exposure to Determine Safety Margin

C-77

- Brain/CSF ratios were consistent across multiple preclinical species
- CSF lorcaserin exposure was measured in healthy obese subjects
- Human brain exposure was extrapolated using mean CSF-brain ratios in preclinical species
- Safety margin for astrocytoma is based on predicted brain exposure in humans

# Astrocytoma in Male Rats: Brain Exposure Margin $\geq 70X$

	Lorcaserin Dose (mg/kg/day)			
	Vehicle	10	30	100
<b>Brain exposure margin</b>	-	<b>70</b>	<b>360</b>	<b>&gt; 360</b>
<b>Number of Animals</b>	65	65	65	75
<b>n with astrocytoma</b>	1	0	4	8*
<b>% with astrocytoma</b>	1.5	0	6.2	10.7

\* p &lt; 0.01 vs. vehicle

# Independent Pathology Working Group Establishes Diagnostic Certainty

- Blinded re-adjudication of tissues
- Fibroadenoma easily distinguished from Adenocarcinoma
- Unanimous adjudication:
  - Adenocarcinoma = 93%
  - Fibroadenoma = 97%
- Diagnostic certainty established
- Considered the definitive data set

# ≥ 24-Fold Margin for Adenocarcinoma in Female Rats

	Lorcaserin Dose (mg/kg/day)			
	Vehicle	10	30	100
Plasma exposure margin	-	7	24	82
Number of Animals	65	65	65	75
n with adenocarcinoma	26	21	24	51*
% with adenocarcinoma	40%	32%	37%	68%*

\* Statistically significant vs. control (p < 0.001)



## Safety Margins for Lorcaserin

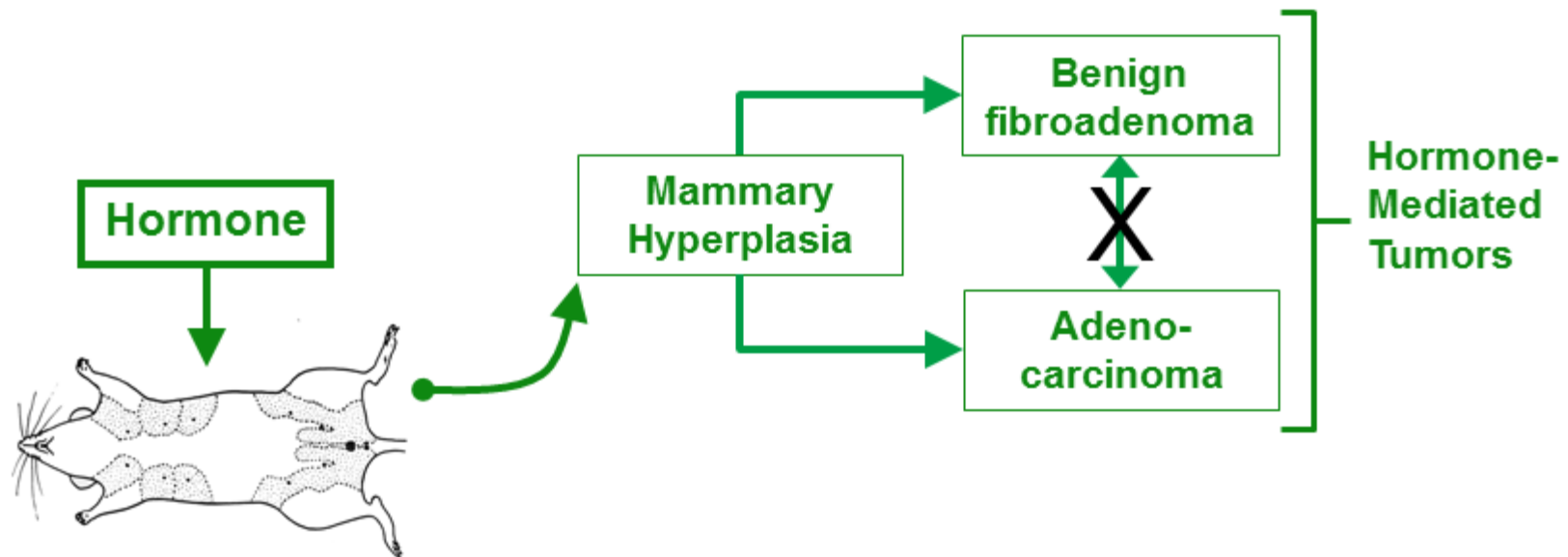
- Safety margin  $\geq 70$  times human exposure for astrocytoma in male rats
- Safety margin  $\geq 24$  times human exposure for mammary adenocarcinoma in female rats

# Incidence of Mammary Fibroadenoma in Female Rats

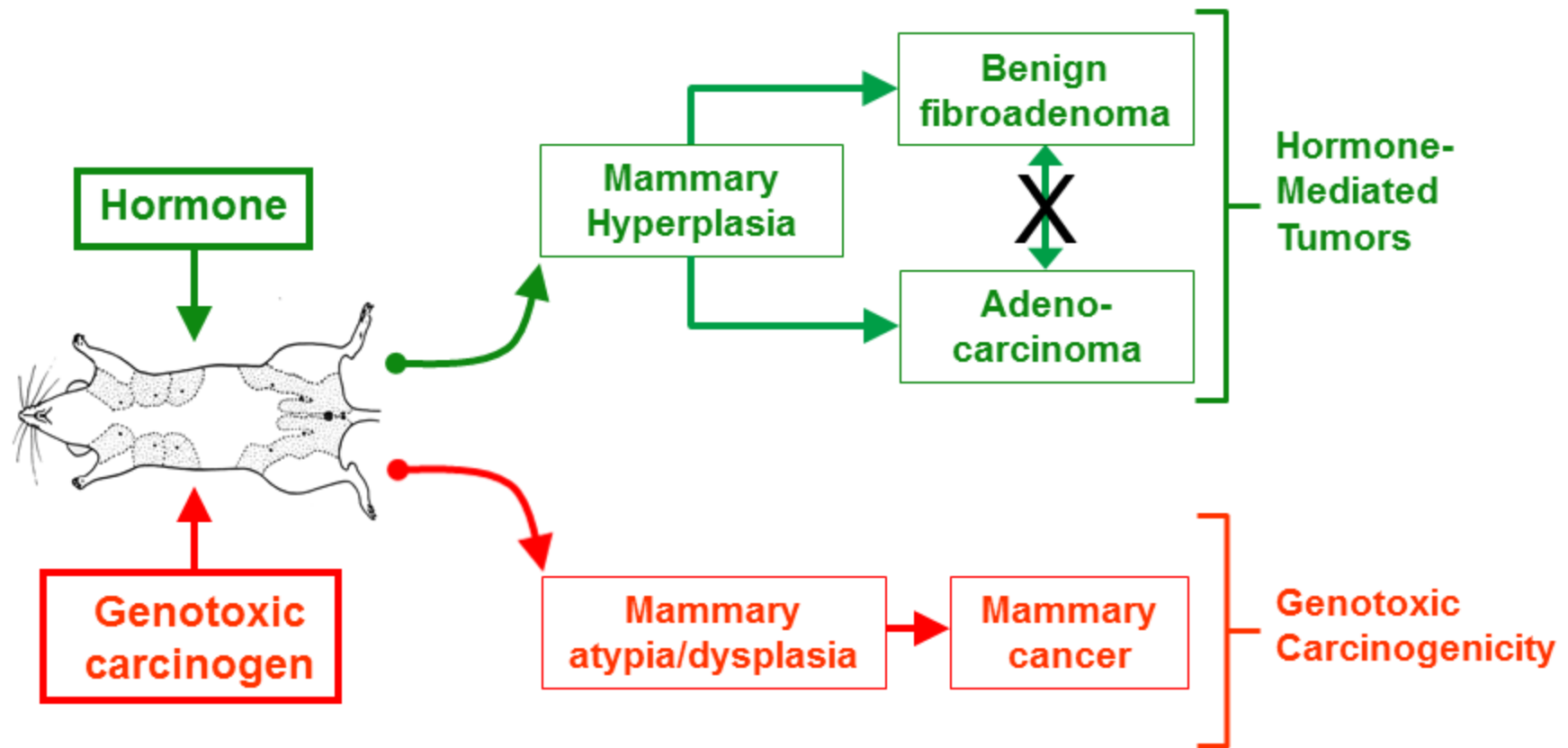
	Lorcaserin Dose (mg/kg/day)			
	Vehicle	10	30	100
<b>Plasma exposure margin</b>	-	<b>7</b>	<b>24</b>	<b>82</b>
<b>Number of Animals</b>	65	65	65	75
<b>n with fibroadenoma</b>	24	54	55	51
<b>% with fibroadenoma</b>	37%	83%*	85%*	68%*

\* Statistically significant vs. control (p < 0.001)

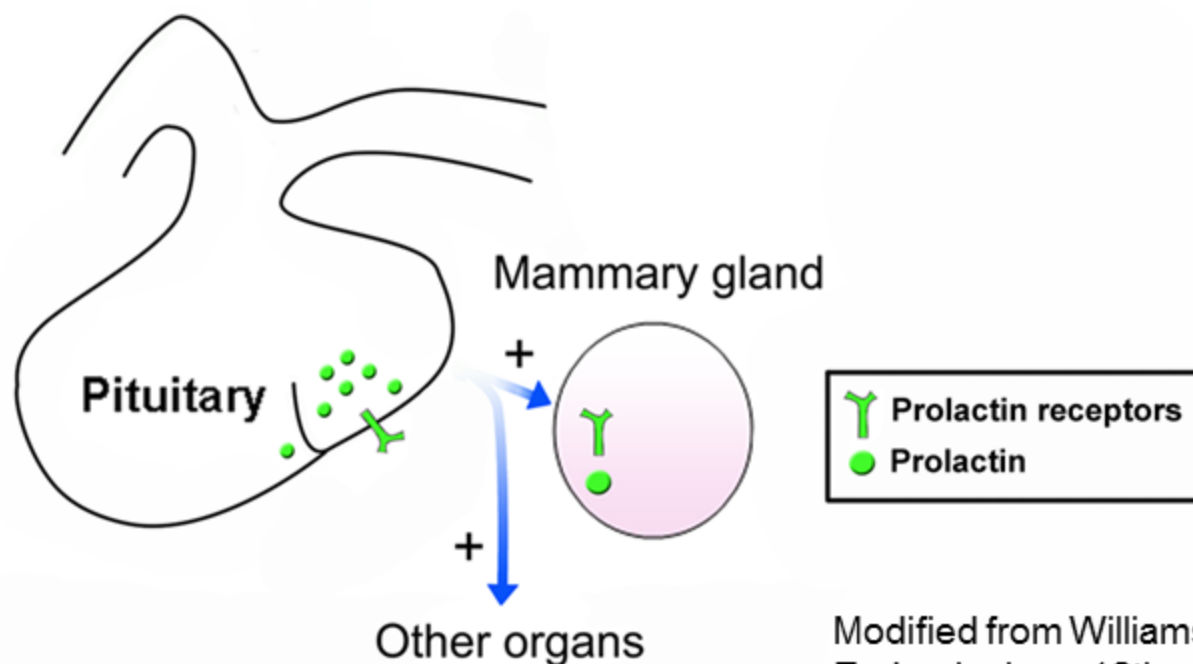
# Two Pathways to Mammary Tumors in the Female Rat



# Two Pathways to Mammary Tumors in the Female Rat

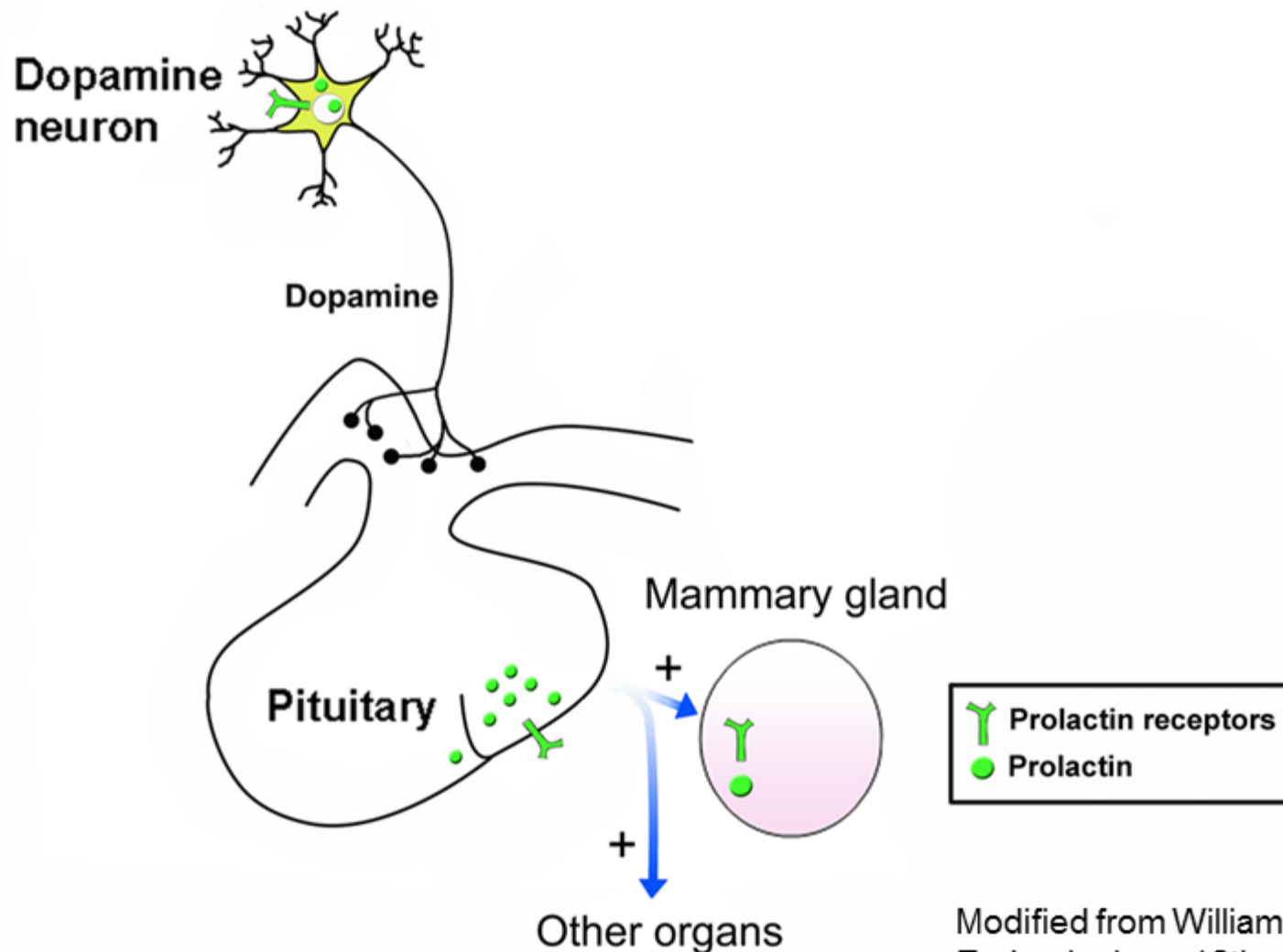


# Control of Pituitary Prolactin Release by Dopaminergic and Serotonergic Mechanisms



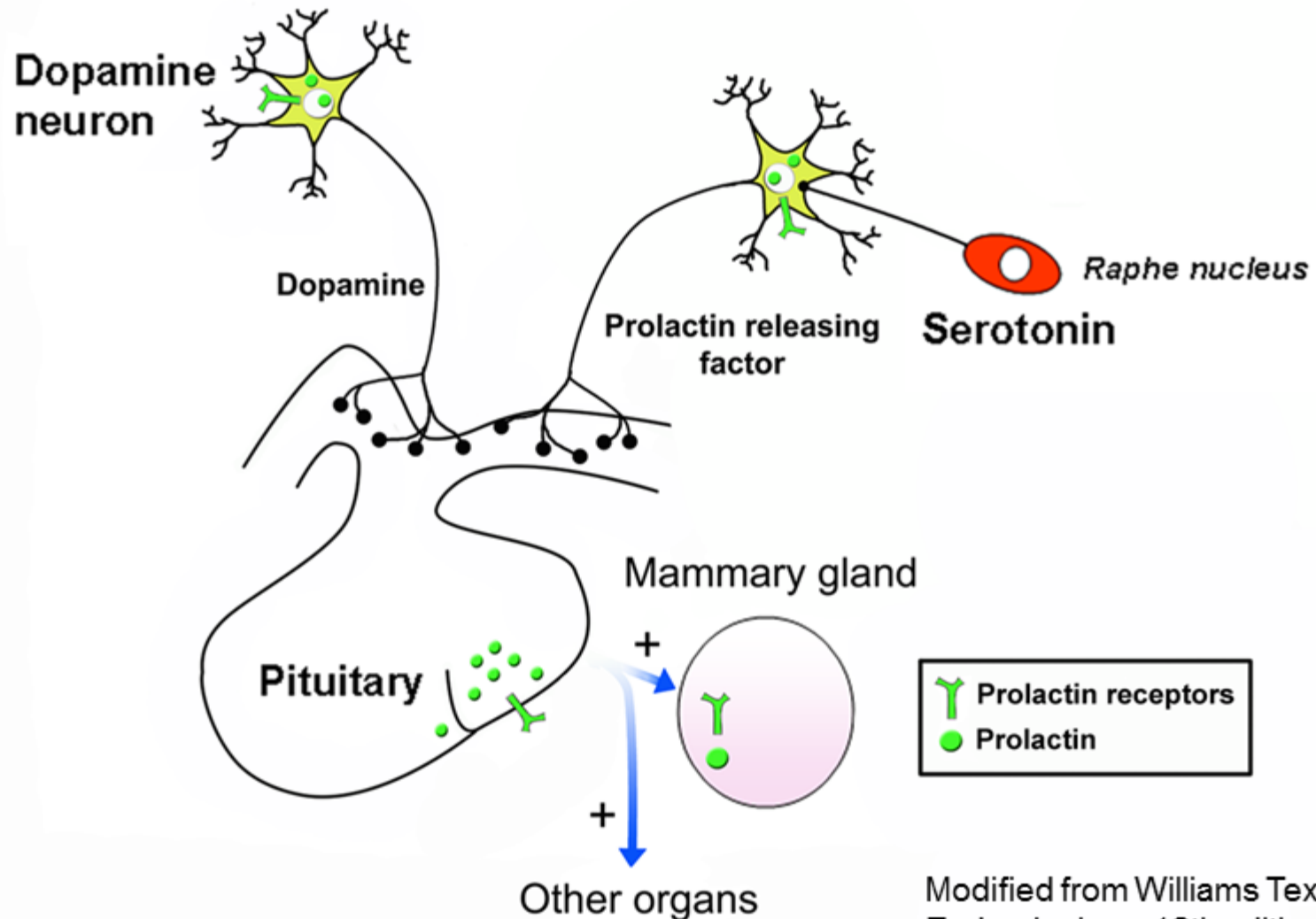
Modified from Williams Textbook of Endocrinology, 12th edition, 2011

# Control of Pituitary Prolactin Release by Dopaminergic and Serotonergic Mechanisms



Modified from Williams Textbook of Endocrinology, 12th edition, 2011

# Control of Pituitary Prolactin Release by Dopaminergic and Serotonergic Mechanisms



Modified from Williams Textbook of Endocrinology, 12th edition, 2011

# Prolactin (PRL) Dependence of Benign and Malignant Mammary Tumors in Rats

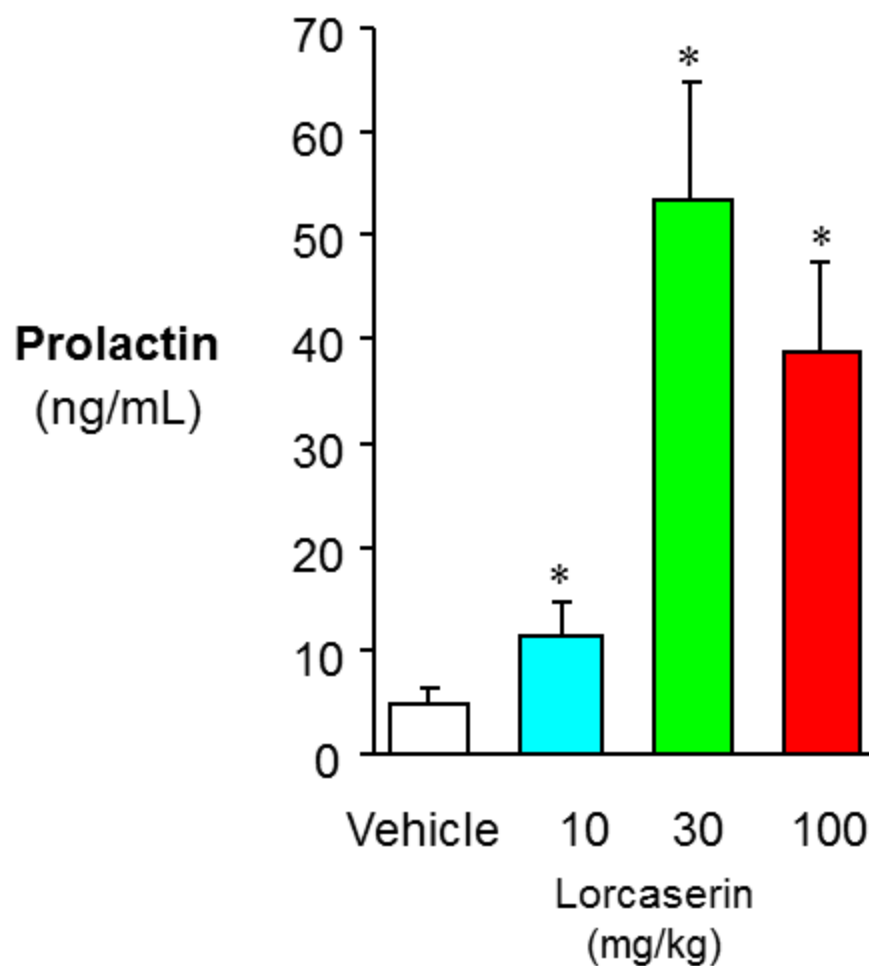
- Dopamine D<sub>2</sub> blockers:
  - Markedly and chronically increase circulating prolactin
  - Increase rat mammary tumors
- Smaller and shorter duration prolactin increases are relevant to tumor formation



# Rationale for Rat Mechanistic Studies

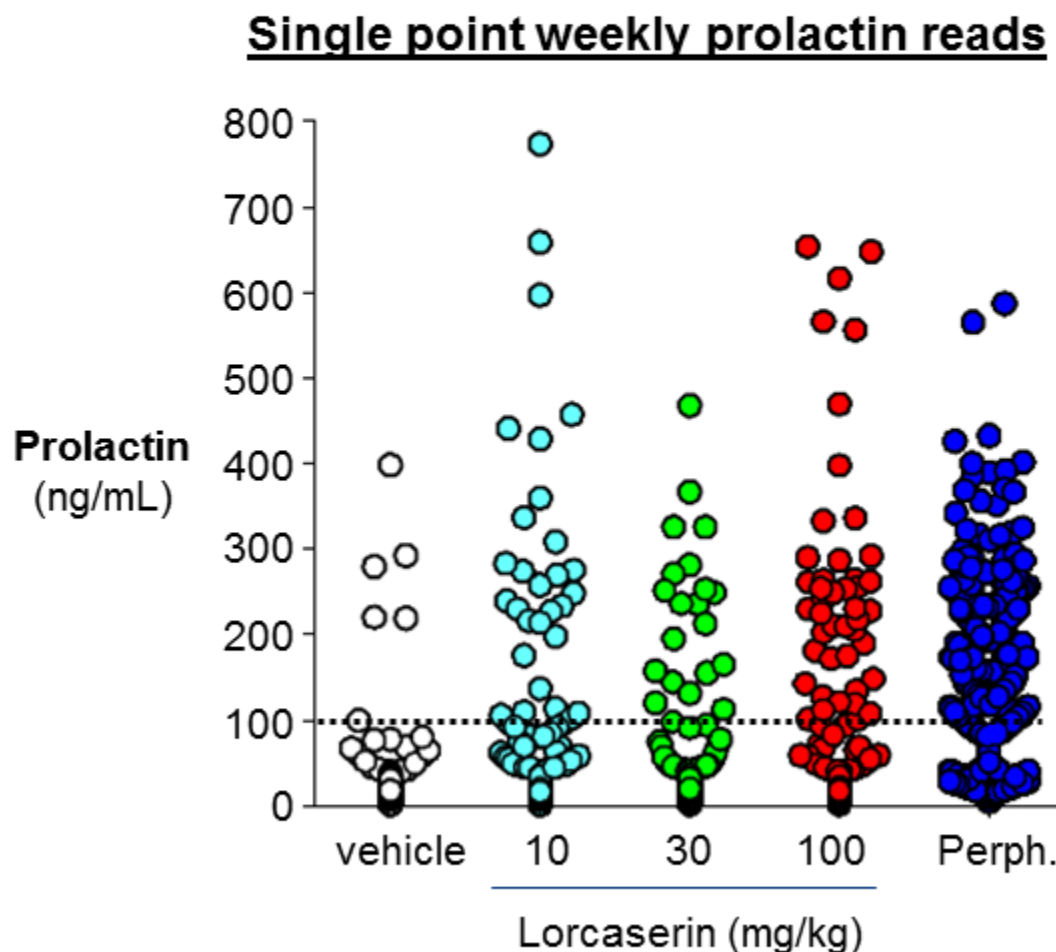
1. **Does lorcaserin increase prolactin?**
  - Female rats dosed for up to three months: Prolactin measured in plasma and pituitary
2. **Does treatment with lorcaserin cause measurable changes in mammary tissue?**
  - Multiple mammary tissue endpoints assessed in three month studies
3. **Can prolactin be linked to lorcaserin-induced mammary tissue changes?**
  - Effect of pituitary removal and prolactin receptor blockade on lorcaserin-induced mammary changes measured.

# Lorcaserin Dose-Dependently Increases Serum Prolactin in Female Rats<sup>C-90</sup>



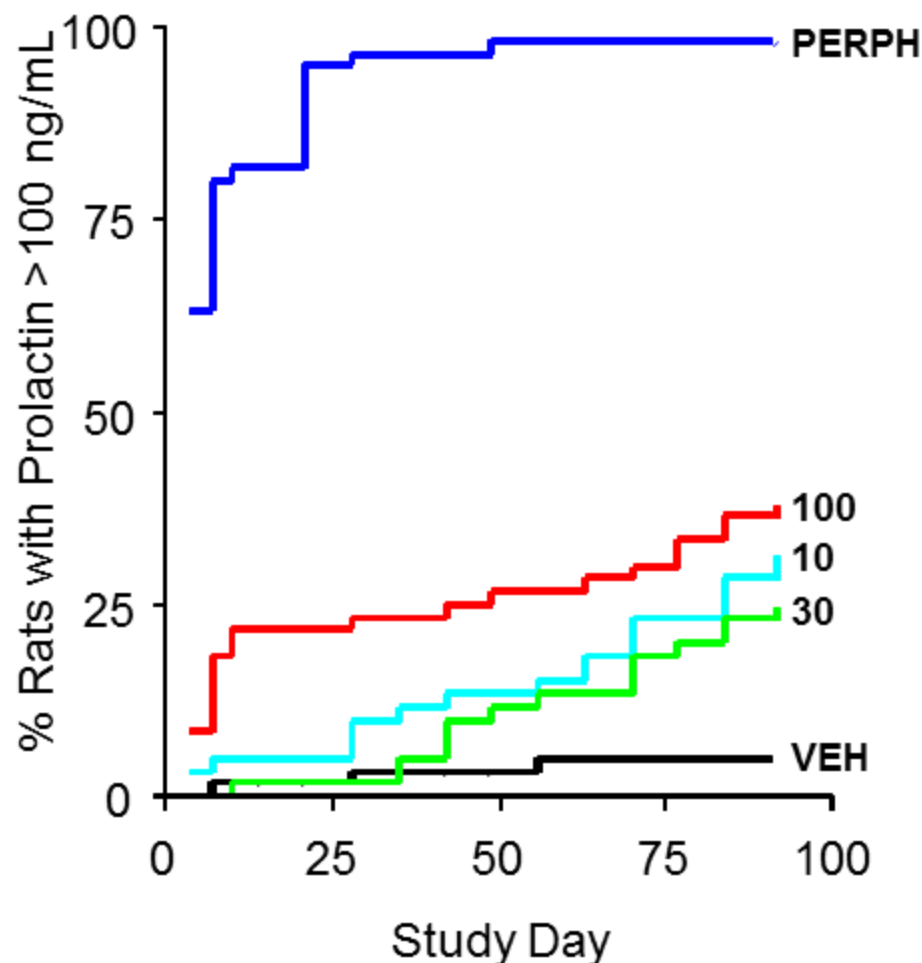
n = 25 per group  
\* p < 0.01 vs. vehicle

# Lorcaserin Increases Plasma Prolactin During a Three-Month Study

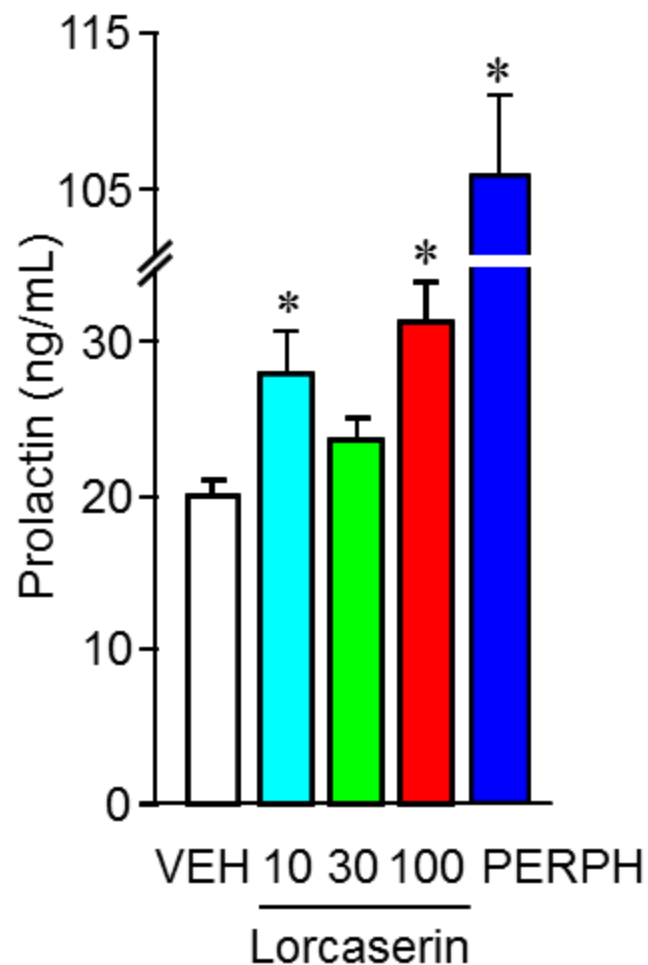


# Lorcaserin Increases Plasma Prolactin During a Three-Month Study

## Time to first high prolactin event

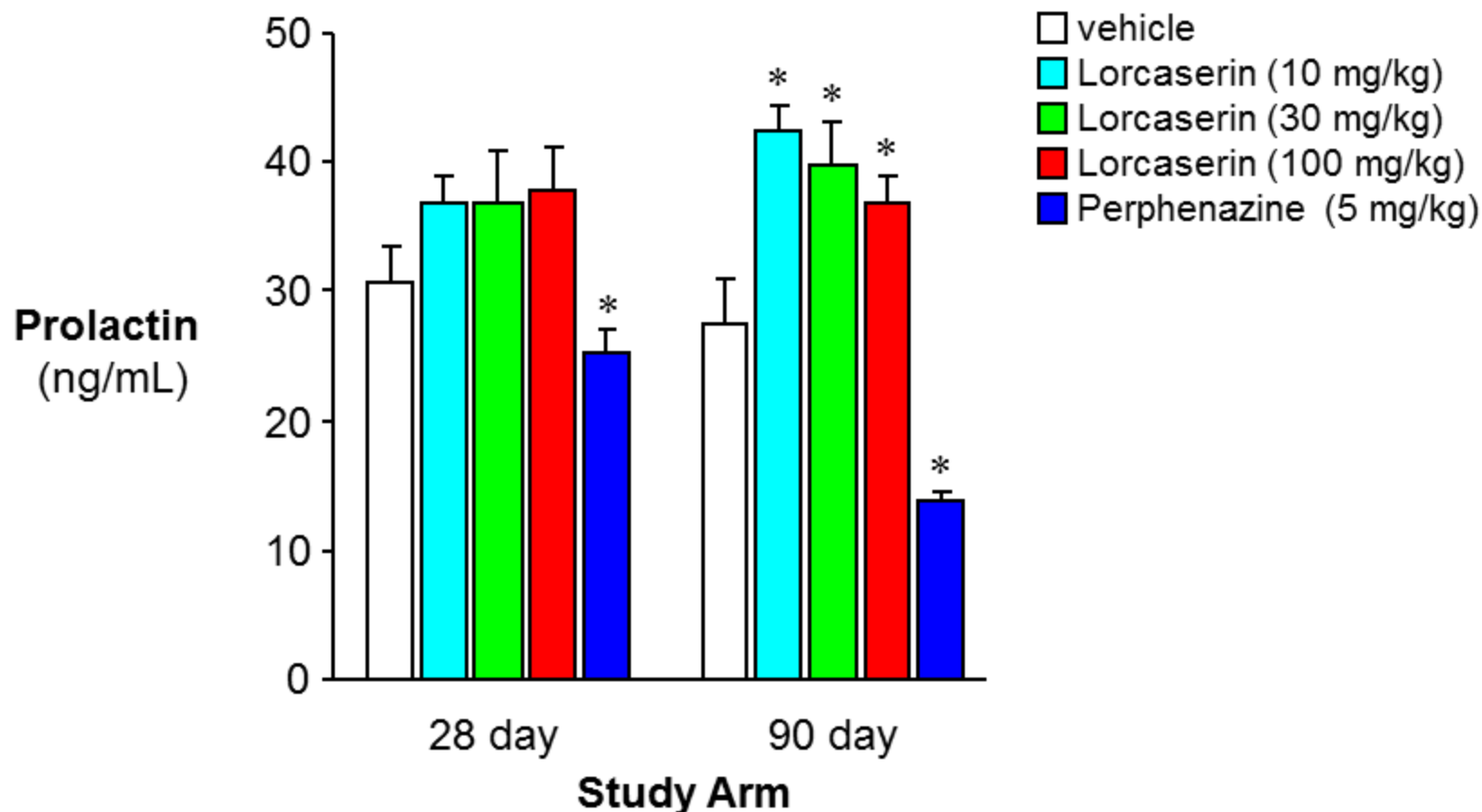


## Mean plasma prolactin



All n = 56-60 per group; \*p < 0.01 vs. vehicle

# Lorcaserin Increases Pituitary Prolactin



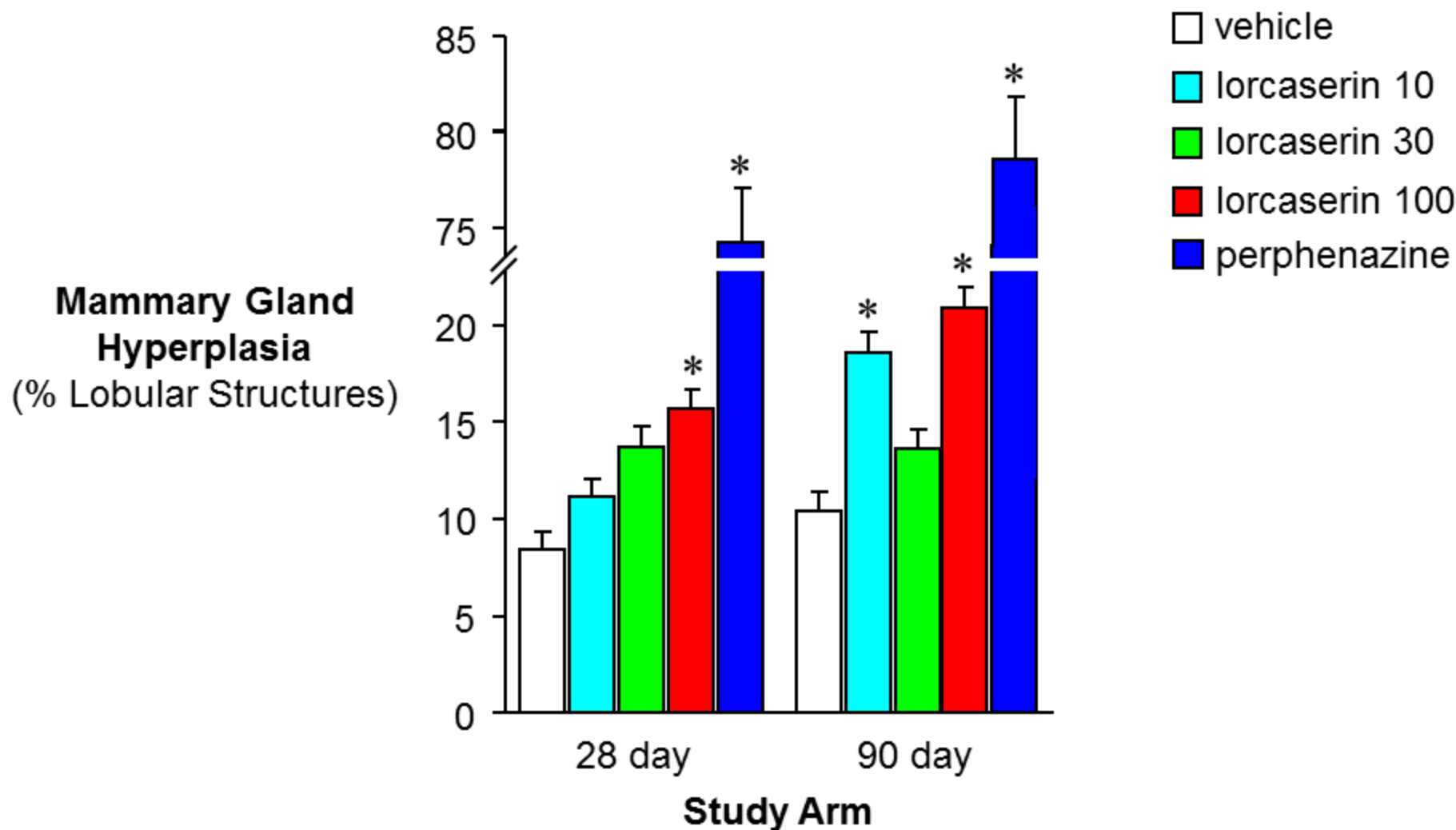
All n = 56-60 per group

\* p < 0.05 vs. vehicle

# Mammary Tissue Analysis

- Mammary glands harvested at days 28 and 90 of study
- Tissue sent to independent labs for blinded analysis
- Analyses included:
  - Histomorphology (H&E, Whole-mount)
  - Tissue proliferation marker proliferating cell nuclear antigen (PCNA)

# Lorcaserin Treatment Induces Changes Characteristic of Hormonal Stimulation



All n = 56-60 per group

\* p < 0.01 vs. sham vehicle

# Prolactin Mechanistic Studies

## Hypophysectomy

Group	Treatment	Surgery	Hyperplasia Score	
			Mean	SEM
1	Vehicle	Sham	0.71	0.15
2	Vehicle	Hypophysectomy	0.34	0.09
3	Lorcaserin	Sham	1.59	0.14**
4	Lorcaserin	Hypophysectomy	0.62	0.11

## Prolactin Receptor Blockade

Group	Treatment	Co-treatment	Proliferation (PCNA)	
			Mean	SEM
1	Vehicle	Vehicle	1.64	0.38
2	Vehicle	S179D	1.93	0.49
3	Perphenazine	Vehicle	6.05	0.71
4	Perphenazine	S179D	4.57	0.52
5	Lorcaserin	Vehicle	3.79	0.61*
6	Lorcaserin	S179D	2.14	0.38#

\*\* p < 0.01 versus all other groups

\* p < 0.05 vs. vehicle-vehicle; #p < 0.05 vs. lorcaserin-vehicle



# Results of Rat Mechanistic Studies

**1. Does lorcaserin increase prolactin?**

- Plasma and pituitary prolactin elevated by lorcaserin

**2. Does treatment with lorcaserin cause measurable changes in mammary tissue?**

- Morphological changes in mammary tissue after lorcaserin dosing consistent with prolactin stimulation

**3. Can prolactin be linked to tissue changes?**

- Pituitary removal and prolactin receptor antagonist treatment prevented lorcaserin-induced mammary tissue changes

# Preclinical Summary: Margin or Mechanism Have Been Established

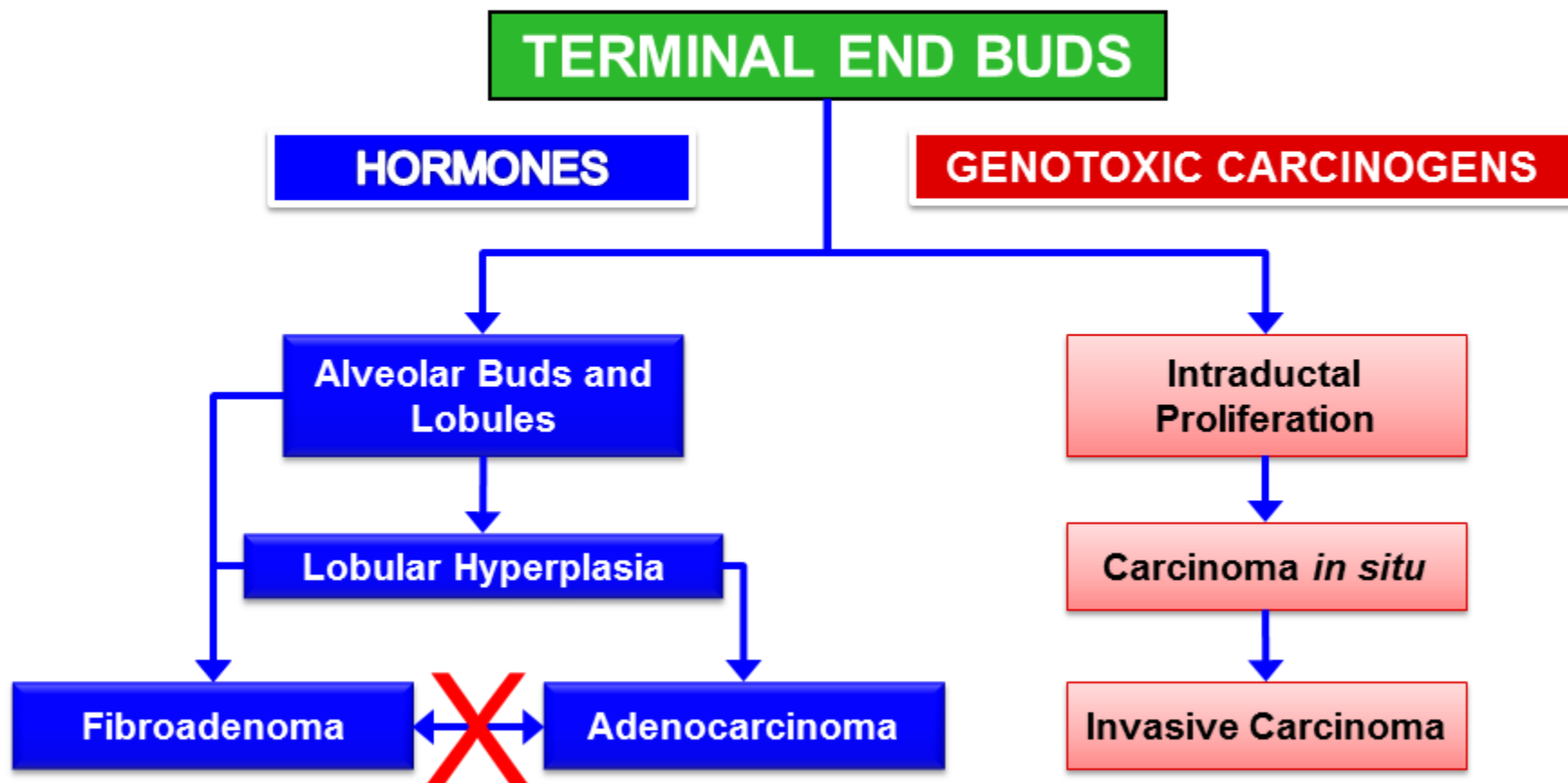
	Margin or Mechanism
Mammary gland adenocarcinoma	Margin $\geq 24$
Mammary gland fibroadenoma	Mechanism
Brain astrocytoma	Margin $\geq 70$

## **Review of Rat Mammary Gland Pathogenesis: Relevance to Human Risk**

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Samuel Cohen, MD, PhD, DABP  
Professor of Pathology/Microbiology and  
Endowed Professor of Oncology, UNMC  
Fellow, Academy of Toxicological Sciences

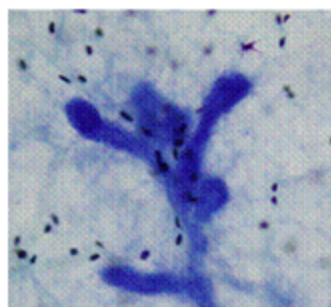
# Rat Mammary Tumor Development



# Rat Mammary Gland Changes Characteristic of Hormonal Stimulation (Whole Mount Technique)

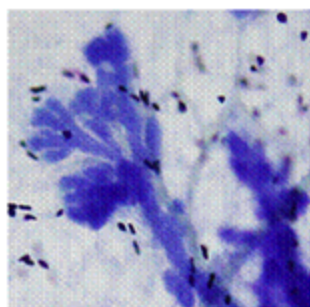
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Terminal end bud

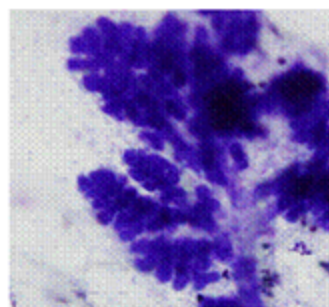


*Sparse lobules*

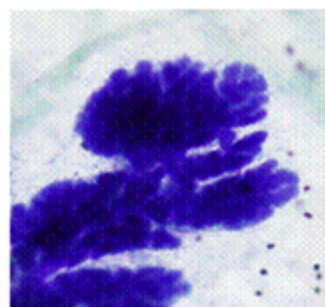
lobule type I



lobule type II



lobule type III

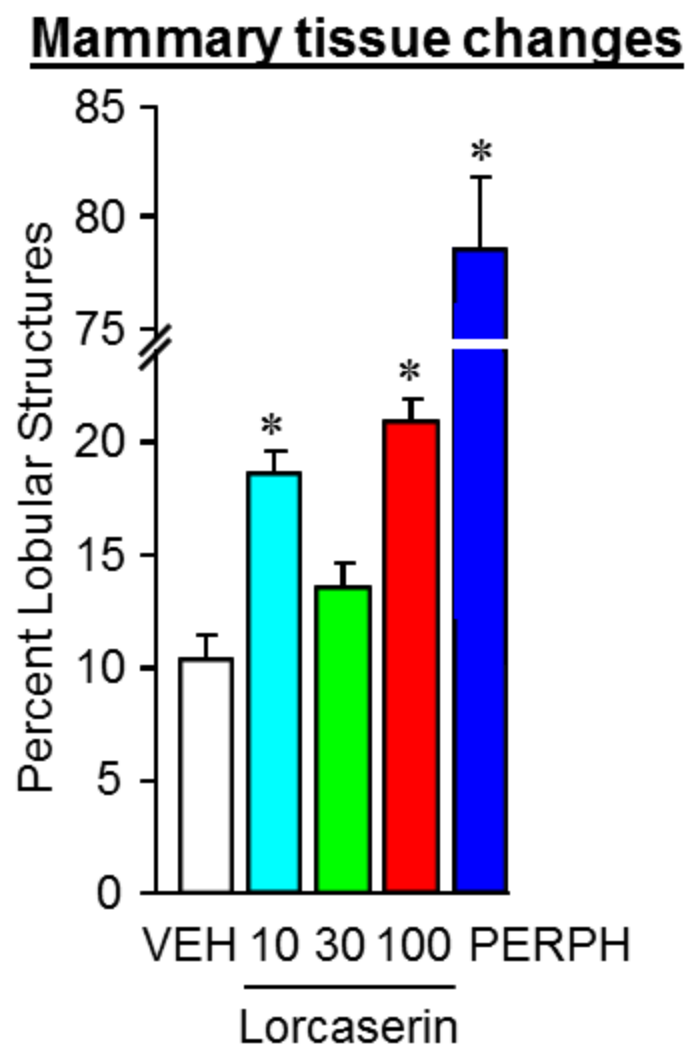
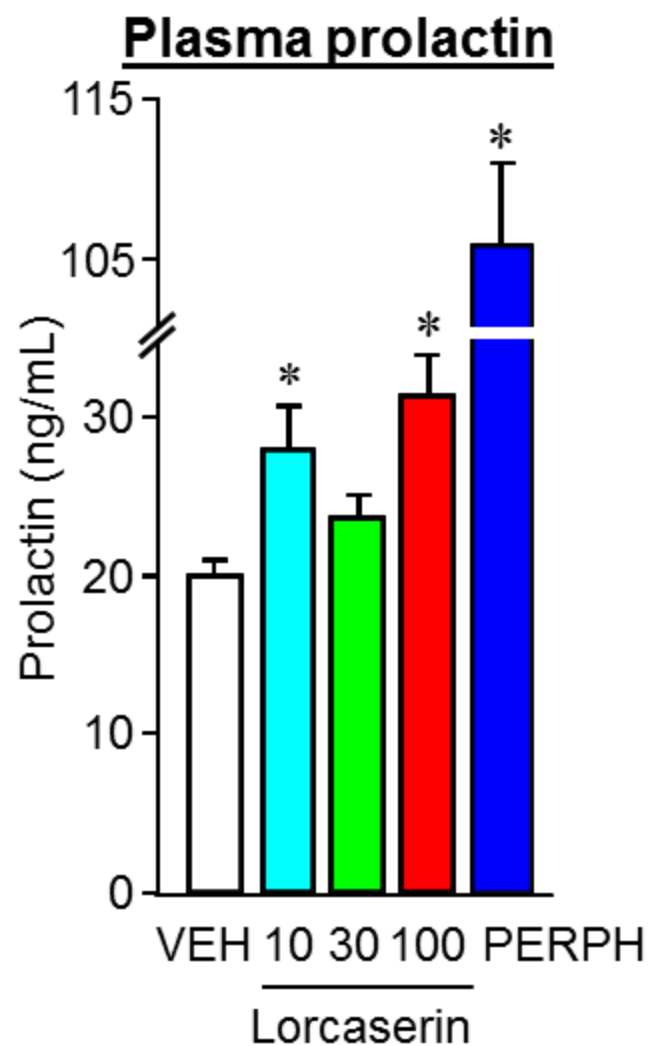


*Increased lobules*

Hormone-induced differentiation



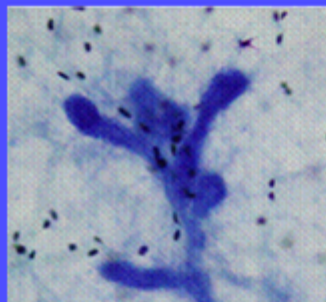
# Lorcaserin Mediated Plasma Prolactin and Mammary Tissue Changes



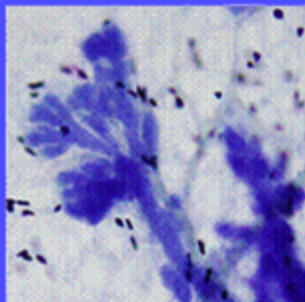
\*  $p < 0.01$  vs. sham vehicle

# Prolactin Effects on Mammary Gland Structure

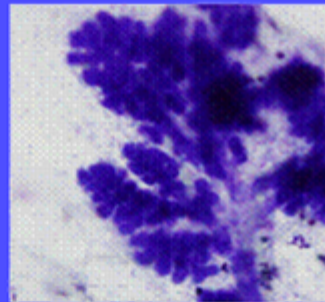
Terminal end bud



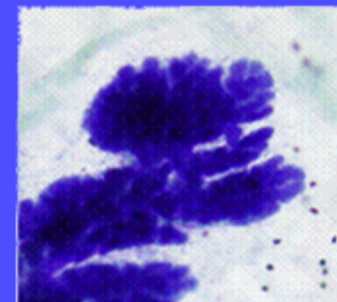
Lobule type I



Lobule type II



Lobule type III



## Cell Proliferation/Differentiation

Rat	+	+	+	+
Human	-	-	-	+

## Tumor Development

Rat	+	+	+	-
Human	-	-	-	-

# Role of Prolactin in Mammary Gland in Rat and Human

---

- Rat:
  - Prolactin induces proliferation and differentiation of susceptible cell populations
  - Prolactin strongly influences development of lobular structures into fibroadenomas
- Human:
  - Prolactin primarily affects lactation
  - Prolactin does not have proliferative or differentiation effects on susceptible cell populations at low levels
  - Prolactin does not cause fibroadenomas



## Conclusions

---

- Lorcaserin effects on the mammary gland are prolactin mediated
- Rat fibroadenomas are not relevant to human risk
- Risk of lorcaserin-induced mammary tumors in humans is negligible



## Conclusion

Dominic Behan, PhD  
Exec. Vice President & Chief Scientific Officer  
Arena Pharmaceuticals

## Body of Evidence Supports Favorable Benefit-Risk Profile of Lorcaserin

- Clinically meaningful weight loss: improvements in biomarkers of cardiovascular and metabolic risk
- Clinically meaningful improvements in HbA1c and fasting plasma glucose in patients with type 2 diabetes
- Consistent safety profile/no clinically significant safety signals
- Preclinical findings: either substantial margins and/or rat specific mechanism

# Backup Slides

# Cardiovascular 5-HT<sub>2B</sub> Expression

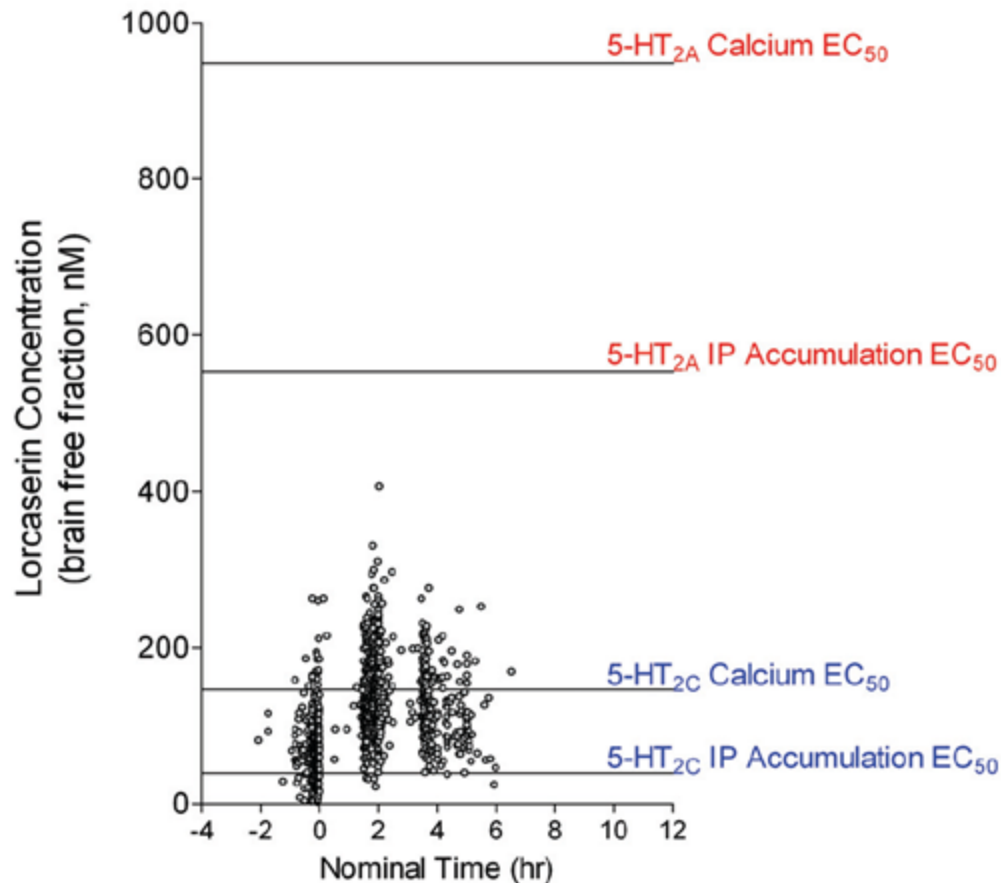
## 5-HT<sub>2B</sub> expression levels in cardiovascular tissue

Species	Tissue	B <sub>max</sub> (fmol/mg)	Reference
Mouse	Cardiomyocyte	110	[1]
Mouse	Cardiac fibroblast	45	[1]
Mouse	Lung vascular bed explants	25	[2]
Human	Left ventricle biopsy (CHF)	114	[1]
Human	Left ventricle biopsy (normal)	~25	[1]

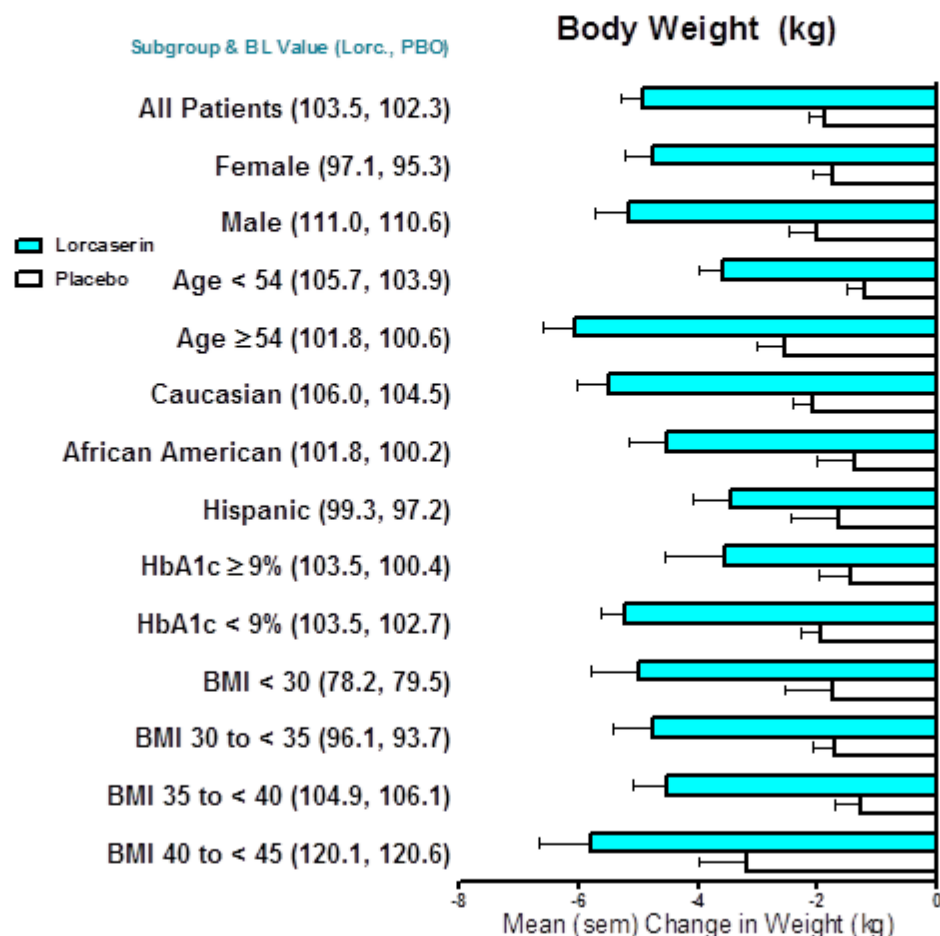
[1] Circ. Res. (2009) 104:113-23. [2] Nature Medicine (2002) 8:1129-35

Receptor reserve effects not observed in cells expressing up to 300 fmol/mg

# Extrapolated Lorcaserin Exposure in Human Brain



# Change in Body Weight by Demographic Subgroup – Study 010



# Change in Heart Rate, Systolic BP, and Diastolic BP by Responder Status ( $\geq 5\%$ at Week 52, MITT/LOCF) in Study 010 RA-8

CFB Mean (SEM)	Responders		Non-Responders	
	Placebo N=40	10 mg BID N=94	Placebo N=208	10 mg BID N=157
Heart rate, bpm	-1.60 (1.48)	-3.37 (1.05)	-0.01 (0.62)	-0.75 (0.69)
Diastolic BP, mmHg	-1.30 (1.77)	-1.59 (0.93)	-1.16 (0.57)	-0.79 (0.76)
Systolic BP, mmHg	0.60 (2.66)	-2.54 (1.58)	-1.19 (0.91)	0.20 (1.00)

Based on MITT population and LOCF  
CFB = change from baseline



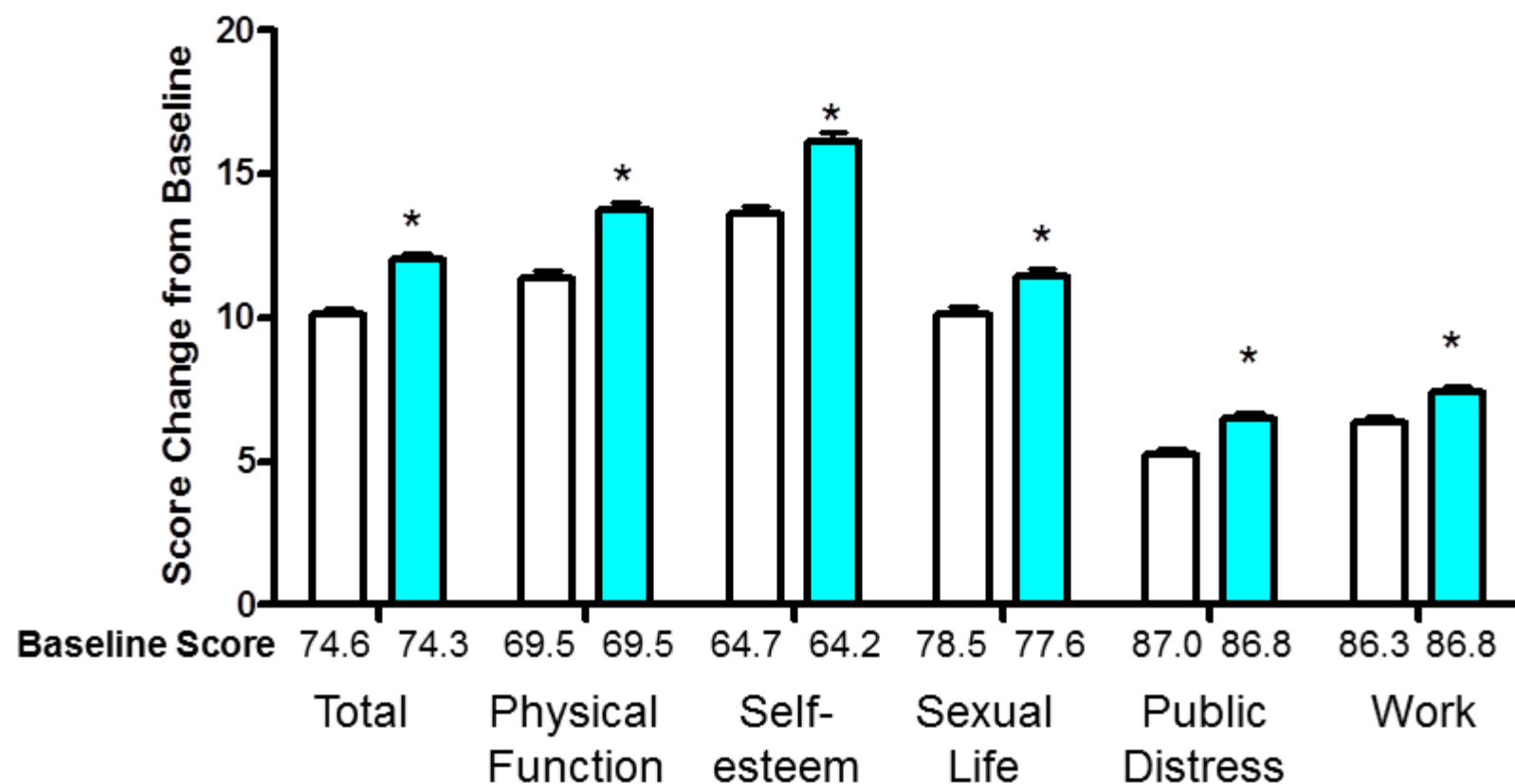
# Change in Heart Rate, Systolic BP, and Diastolic BP by Responder Status ( $\geq 5\%$ at Week 52, MITT/LOCF) in Studies 009 and 011

RA-7

CFB Mean (SEM)	Responders		Non-Responders	
	Placebo N=687	10 mg BID N=1460	Placebo N=2351	10 mg BID N=1636
Heart rate, bpm	-2.68 (0.36)	-2.25 (0.24)	0.23 (0.19)	-0.24 (0.22)
Diastolic BP, mmHg	-2.97 (0.33)	-2.68 (0.23)	-0.47 (0.18)	-0.44 (0.22)
Systolic BP, mmHg	-3.84 (0.44)	-3.33 (0.32)	-0.23 (0.24)	-0.30 (0.30)

Based on MITT population and LOCF  
CFB = change from baseline

# Changes from Baseline in Quality of Life Scores: Studies 009 and 011, MITT-LOCF

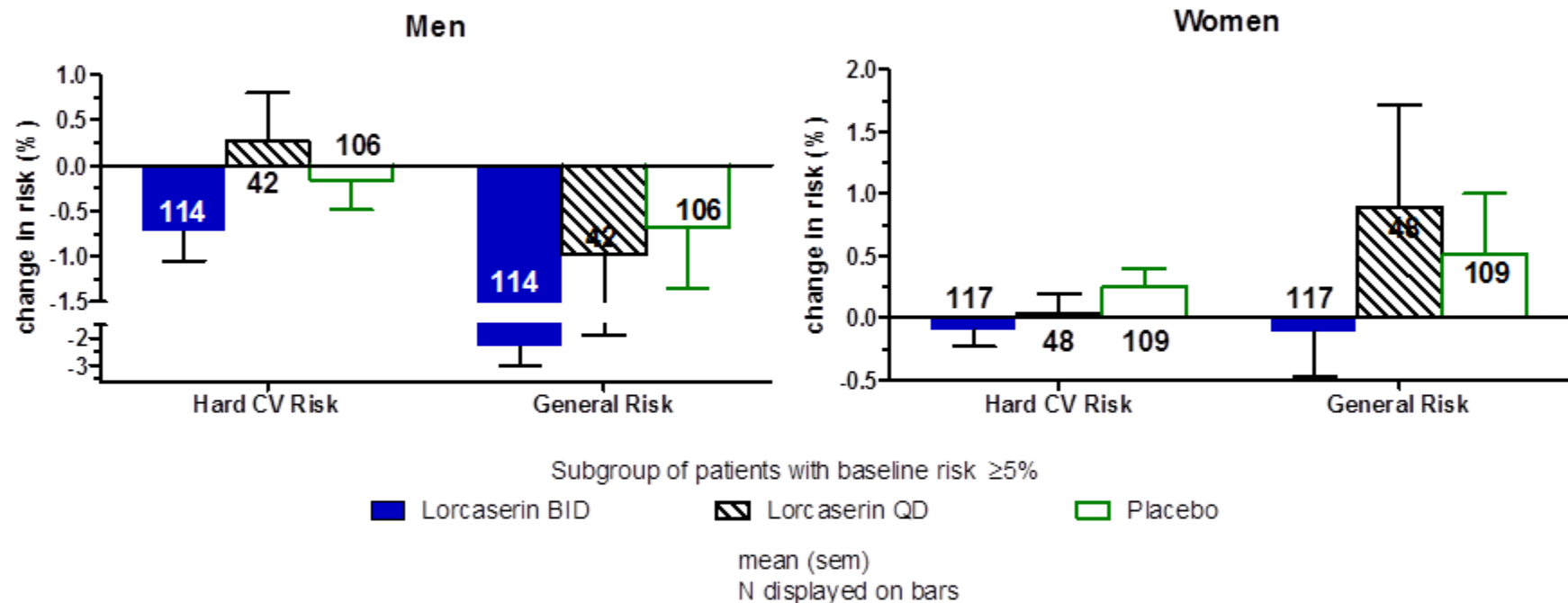


Placebo

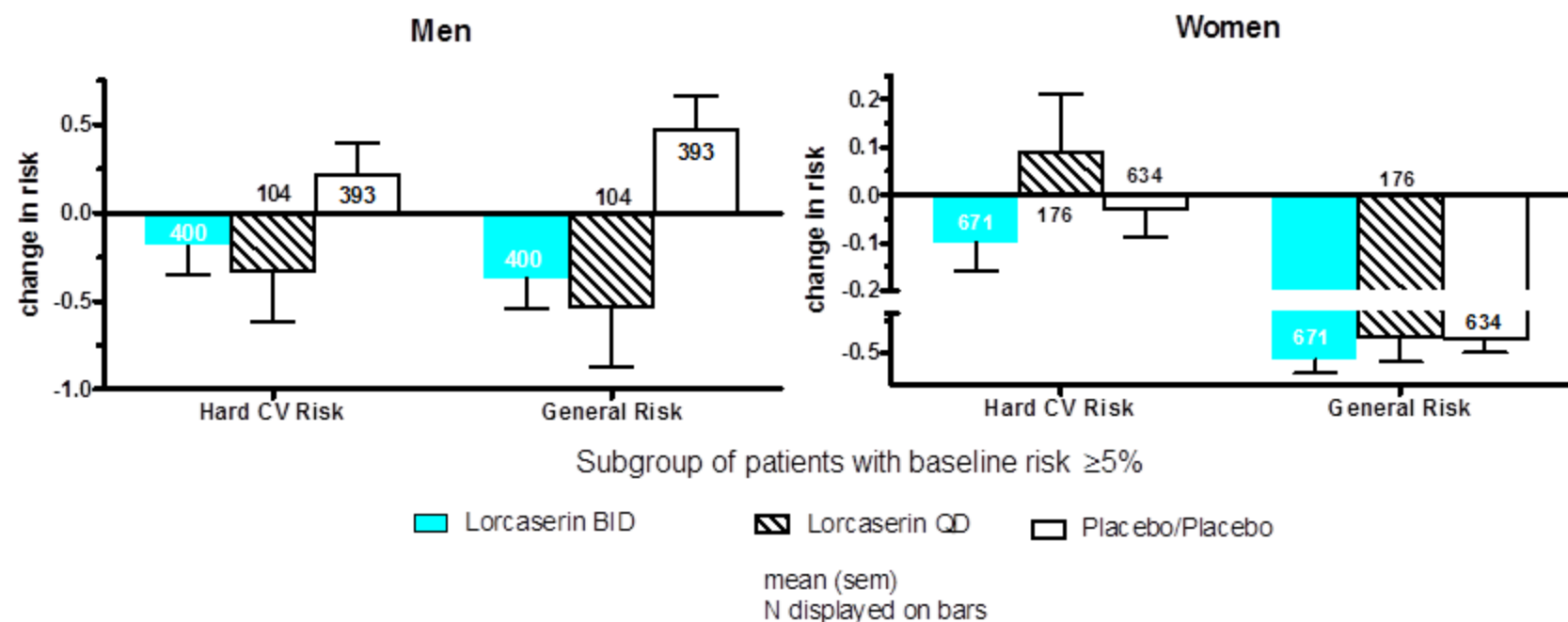
Lorcaserin 10 mg BID

\* $p \leq 0.001$

# Correction: Figure 20 Dose Effect on Framingham Risk Scores in Study APD356-010: MITT/LOCF



# Framingham Risk Score Change from Baseline at Week 52 Pooled Studies 009 and 011: MITT Population



## Patients with FDA-Defined Valvulopathy at Baseline in Pooled Phase 3 Studies

Baseline	Placebo N=60	Lorcaserin 10 mg BID N=75
Valvulopathy still present at Week 24	61.4%	70.3%
Valvulopathy still present at Week 52	60.0%	62.7%

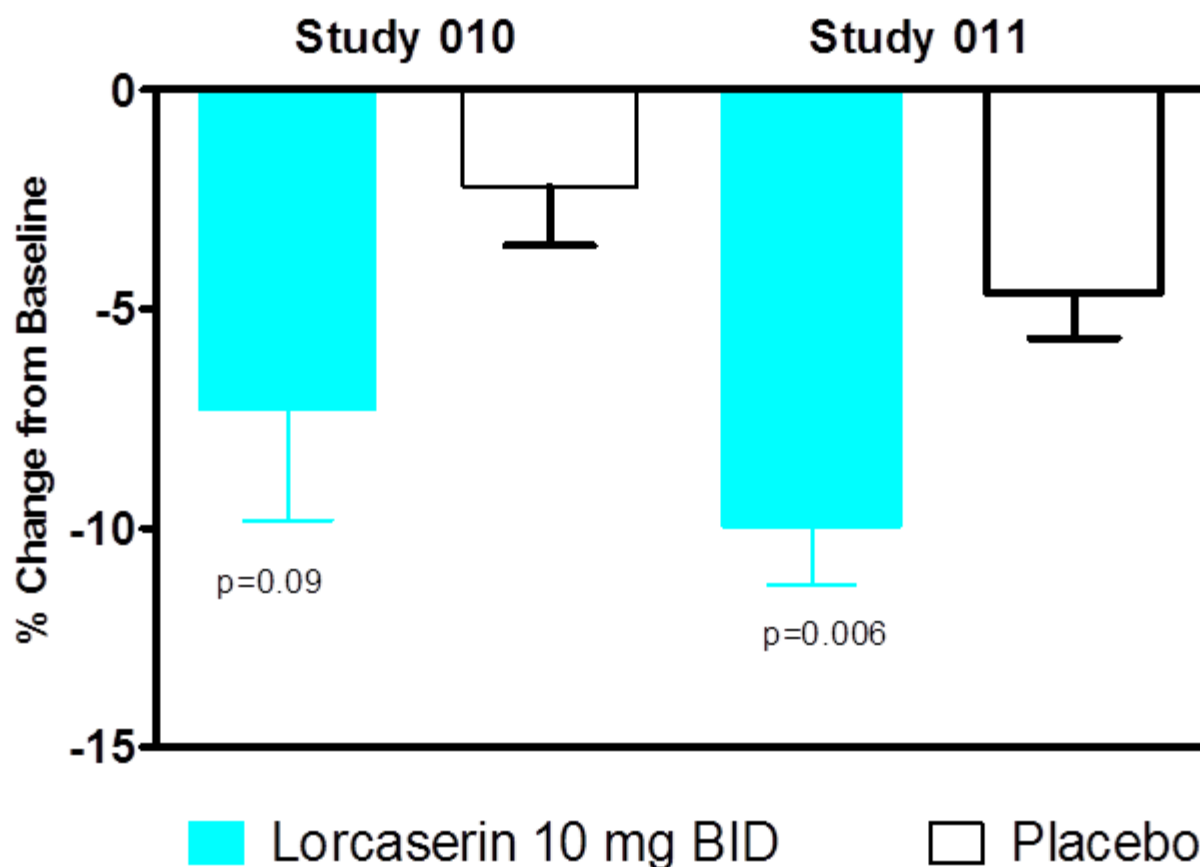
Note: Safety population with last non-missing post baseline observation carried forward.

## FDA-Defined VHD by Subgroup, Phase 3 Trials Pooled, 5% Weight-Loss Responder Status (Table 60 FDA Briefing Book)

	Lorcaserin 10 mg BID	Placebo	Relative Risk (95% CI)
Responders	35/1288 (2.7)	18/392 (3.0)	0.86 (0.49, 1.50)
Non-Responders	22/1003 (2.2)	29/1516 (1.9)	1.15 (0.66, 1.99)

# Total Body Fat % Change from Baseline to Week 52

## Studies 010 and 011: MITT Population



DEXA performed in the Studies 010 and 011 only.

Study 010 sample size: 23 in Placebo and 18 in Lorcaserin

Study 011 sample size: 69 in Placebo and 85 in Lorcaserin

# Roth Study – Key Results

## 5-HT<sub>2B</sub> Receptor EC<sub>50</sub> Values for 4 Signaling Pathways

	Calcium	IP Accumulation	β-Arrestin	pERK
<b>Compounds Associated with Valvulopathy</b>				
Serotonin	1.8	9.3	0.26	2.7
Pergolide	63*	6.3	0.91	63*
Cabergoline	209*	0.76	3.0	110*
Nordexfenfluramine	18	26	1.9	158
Methylergonovine	NR	0.06	0.87	79*
<b>Drugs with Lower 5-HT<sub>2B</sub> Activity Identified by the Roth et al. and Not Associated with Valvulopathy</b>				
Fenoldopam	331	204	129	331
Guanfacine	776	6310	759	1070
Oxymetazoline	331	676	141	933
Quinidine	794	1200	166	1550
Ropinirole	NR	14400	2820	14100
Xylometazoline	1320	4070	427	3500
Lorcaserin	1040	2380	119	1820

All EC<sub>50</sub> values are in nM

NR – No Response. \* Potency likely underestimated in this assay due to slow receptor-association kinetics



# Typical doses of norfenfluramine & pergolide were sufficient to drive 5-HT<sub>2B</sub> signaling

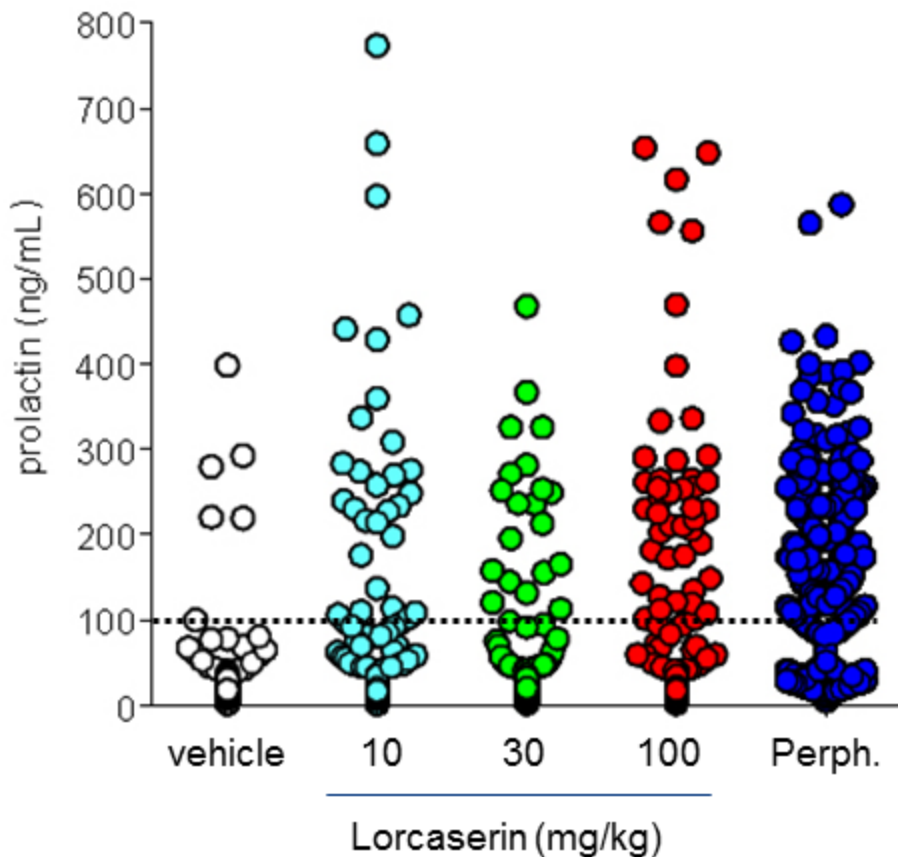
Drug	EC <sub>50</sub> 5-HT <sub>2B</sub> (nM) <sup>a</sup>	Plasma free fraction (nM)	Valvulopathy Incidence, (RR)
Pergolide	6	100	7.1 <sup>b</sup>
(+)Norfenfluramine	26	44	2.2 <sup>c</sup> 2.32 <sup>d</sup>

<sup>a</sup> IP accumulation data; <sup>b</sup> Schade et al. NEJM 2007; <sup>c</sup> Sachdev, et al. Am. Heart J. 2002;

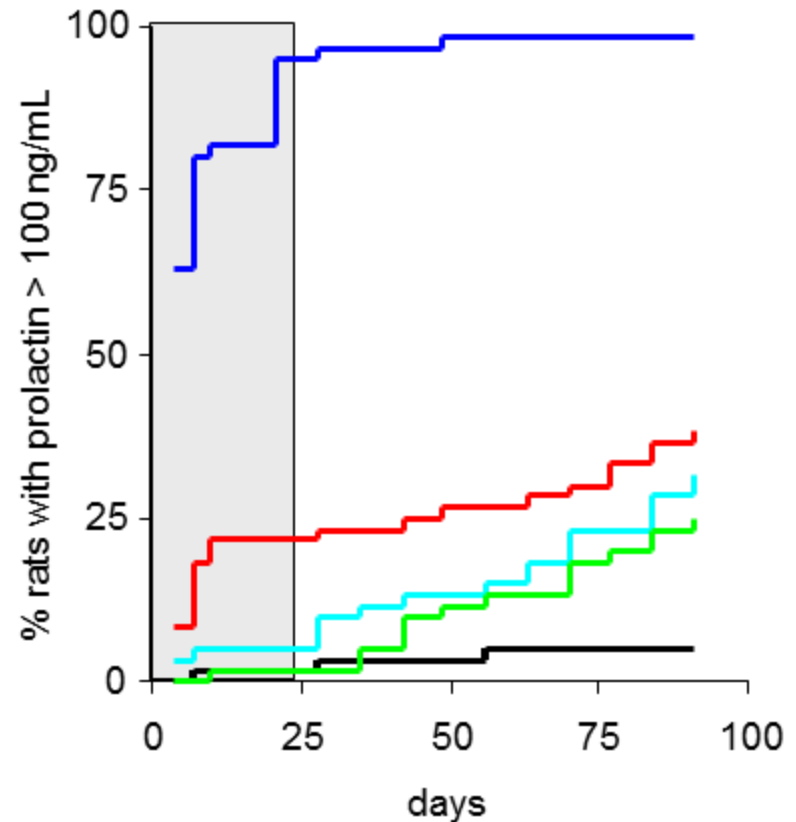
<sup>d</sup> Loke et al. BMC Clin. Pharmacol 2002.

# Lorcaserin Increases Plasma Prolactin During a Three-month Study

Single point weekly prolactin reads



Time to first event



# Proportion of Patients who Developed FDA-Defined Valvulopathy by Week 52% Weight Loss Tertiles (Pooled Phase 3 Studies)

AA-1

n (%)	Placebo	Lorcaserin 10 mg BID	RR (95% CI) <sup>a</sup>
≤T1	18 (2.84)	39 (2.85)	0.97 (0.56, 1.69)
T1<-≤T2	15 (1.77)	15 (1.93)	1.11 (0.54, 2.26)
>T2	19 (1.80)	10 (1.85)	1.04 (0.49, 2.20)

T1 = -5.41, T2 = -0.93

# Ischaemic HD and Cerebrovascular Event SMQs in All Phase III Studies

Group n/N (%)	PBO	BID
All Pts	20/3437 ( <b>0.6</b> )	23/3451 ( <b>0.7</b> )
Responder	7/729 ( <b>1.0</b> )	7/1552 ( <b>0.5</b> )
Non-Responder	13/2711 ( <b>0.5</b> )	16/1899 ( <b>0.8</b> )

Note: Responders by W52 weight loss  $\geq$  5%.

# hsCRP and Fibrinogen Changes from Baseline to Week 52 in the Study 009: Post-Hoc Analysis using MITT Population

Mean ± SE	Baseline	Week 52	Change from Baseline	p-Value
CRP (mg/L)				
Placebo	5.36 ± 0.20	5.21 ± 0.17	-0.17 ± 0.19	
Lorcaserin 10 mg BID	5.51 ± 0.19	4.32 ± 0.15	-1.19 ± 0.18	< 0.0001
Fibrinogen (mg/dL)				
Placebo	363.2 ± 2.3	353.0 ± 2.4	-10.6 ± 2.1	
Lorcaserin 10 mg BID	364.8 ± 2.2	343.1 ± 2.2	-21.5 ± 2.2	0.0002

# hsCRP Change from Baseline to Week 52 in the Study 010: MITT Population

SE-33

Mean $\pm$ SE (mg/L)	Baseline	Week 52	Change from Baseline	p-Value
Placebo	5.44 $\pm$ 6.66	4.83 $\pm$ 6.55	-0.61 $\pm$ 0.34	
Lorcaserin 10 mg BID	6.64 $\pm$ 9.57	4.79 $\pm$ 5.80	-1.85 $\pm$ 0.48	0.1500 <sup>a</sup>

<sup>a</sup> p-Value is for difference from placebo in LS mean change from baseline

# Change in Lipid Parameters by Responder Status at Week 52 in Studies 009 and 011

CFB Mean (SEM)	Responders		Non-Responders	
	Placebo N=682	10 mg BID N=1444	Placebo N=2098	10 mg BID N=1438
Triglycerides, mg/dL	-12.9 (1.2)	-14.5 (0.8)	3.4 (0.8)	4.1 (1.2)
Total Cholesterol, mg/dL	-1.1 (0.5)	-2.1 (0.4)	0.8 (0.3)	0.5 (0.3)
LDL, mg/dL	2.0 (0.9)	0.6 (0.6)	3.3 (0.5)	2.7 (0.5)
Baseline HDL, mg/dL	4.3 (0.6)	4.0 (0.4)	-0.7 (0.3)	-0.4 (0.3)

Based on MITT population and LOCF

CFB = change from baseline